

Workshop

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	Boosting Institute of Chemistry, Technology and Metallurgy in Water Biomonitoring
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<i>Duration:</i>	36 months



Workshop, Belgrade, October 2023

BIOLAWEB presentation



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The INRAE logo is located in the bottom left corner. It consists of three overlapping, rounded, organic shapes in shades of green and yellow. The word "INRAE" is written in a bold, teal, sans-serif font below the shapes.

INRAe



Use of phylogenies in ecology

F. Rimet

UMR Carrel, INRAE, Thonon France

Schedule



0. Including phylogenies into ecological studies

- 0.1 Phylogenetic diversity
- 0.2 Measuring phylogenetic signal
- 0.3 Measuring and testing community phylogenetic structure

1. Theory of sequence alignment

- 1.1 What is an alignment?
- 1.2 Objective of aligning sequences
- 1.3 Edit distance
- 1.4 Local alignments
- 1.5 Global alignments

2. Phylogenies

- 2.1 Choose appropriate model of sequence evolution
- 2.2 Phylogeny inference
- 2.3 Graphical representation of phylogenies

3. Phylogenetic placement

- 3.1 Definition
- 3.2 Why using this
- 3.3 Example with RaxML in R

4. Ecophylogenetic training in R (R studio)

- PD
- NRI NTI



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Ressources to download at:

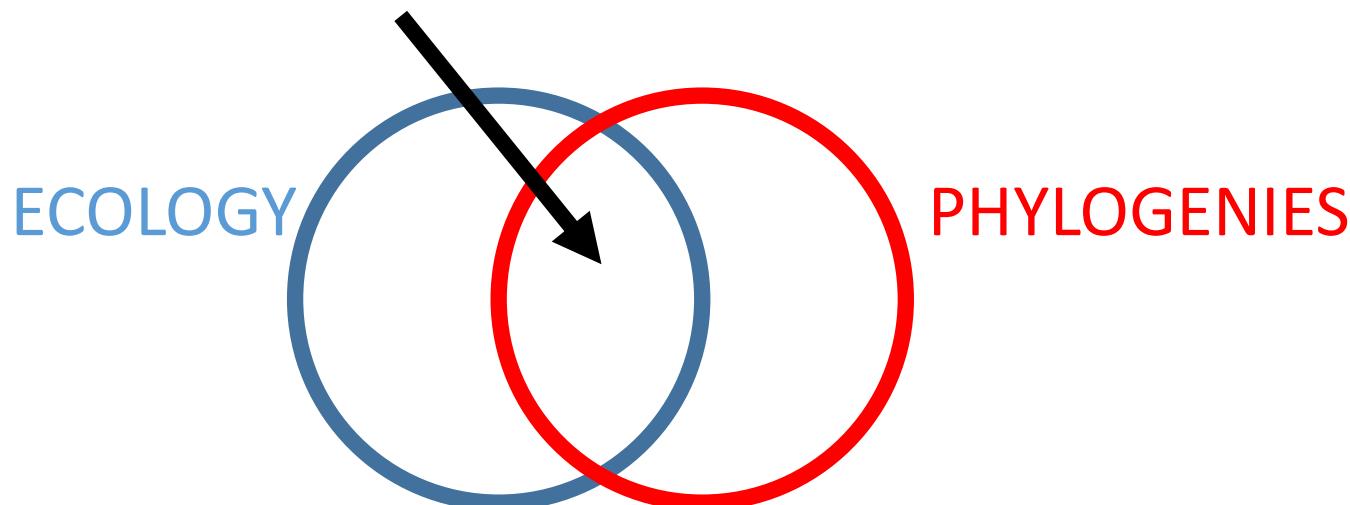
<https://filesender.renater.fr/?s=download&token=2bf3be86-0b5c-4fde-8ace-852d4ae56853>

0. Including phylogenies into ecological studies

- Central question in Community Assembly and Species Coexistence
 - Why do species occur at particular places?
 - Why do some pairs of species coexist while others not?
- There are 2 main predictions:
 - **Environmental filtering:** Ecologically similar species should coexist in ecologically similar environments.
 - **Limiting similarity:** Ecologically dissimilar species should coexist because too similar species competing for the same resources cannot stably coexist.

0. Including phylogenies into ecological studies

- Including phylogeny into ecological thinking represents an opportunity for biologists because:
 - Species distributions are shaped by evolutionary and ecological processes
 - These 2 processes are intimately related
 - So, it is important to study them together
- “Ecophylogenetic” Mouquet et al. 2012 (Biological Reviews)



0. Including phylogenies into ecological studies

Examples of ecophylogenetic analyses through different types of measures:

0.1 Measure of phylogenetic diversity

e.g. Phylogenetic diversity and ecosystem functioning (Faith 1992, Cadotte et al. 2008)

0.2 Measure of phylogenetic signal

e.g. Phylogenetic signal and measure of niche conservatism (Bloomberg et al. 2003, Pagel et al. 1999...)

0.3 Measure and test of community phylogenetic structure

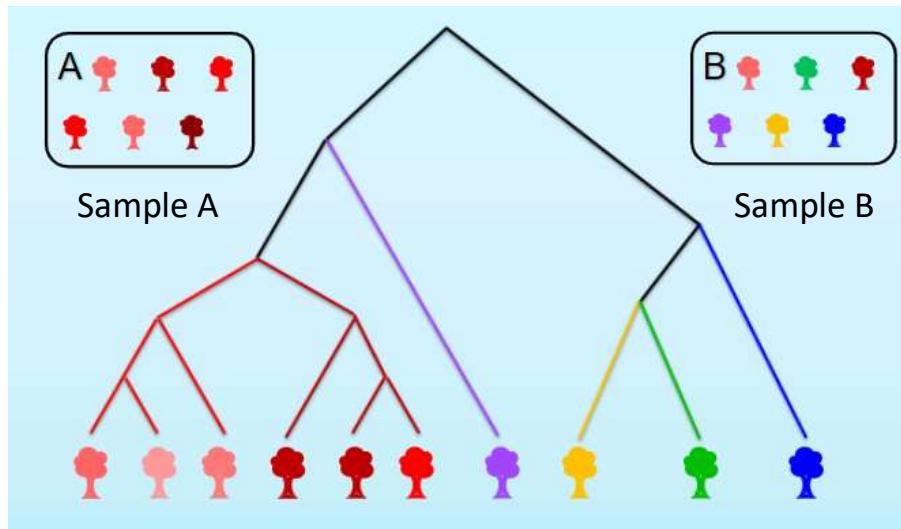
e.g. Assembly rules (environmental filtering vs competition): NTI, NRI indices (Webb et al. 2000)

0.1 Phylogenetic diversity

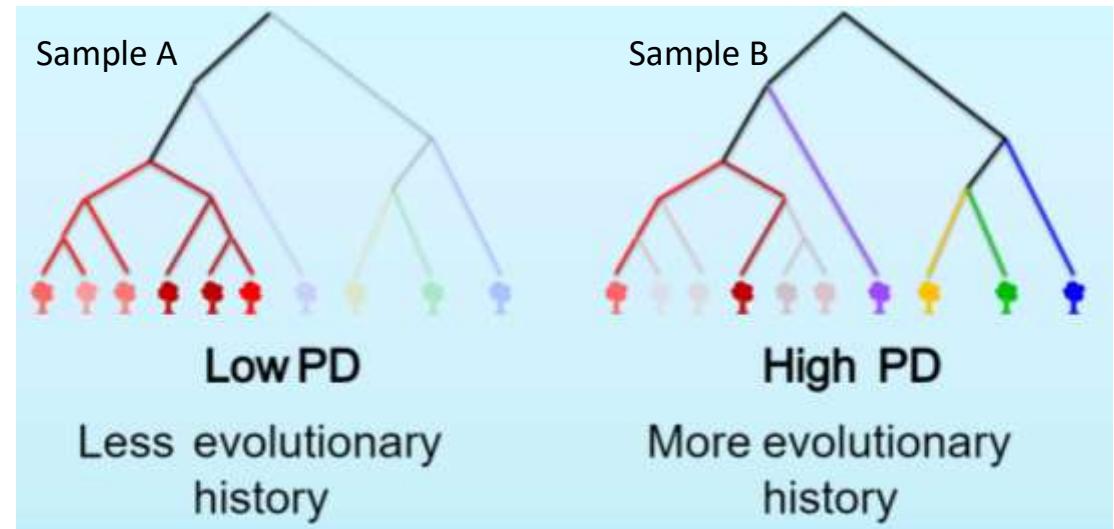
- What is phylogenetic diversity (PD)?

PD is a measure of diversity based on phylogeny

1st step: reconstruction of the phylogeny of the clade



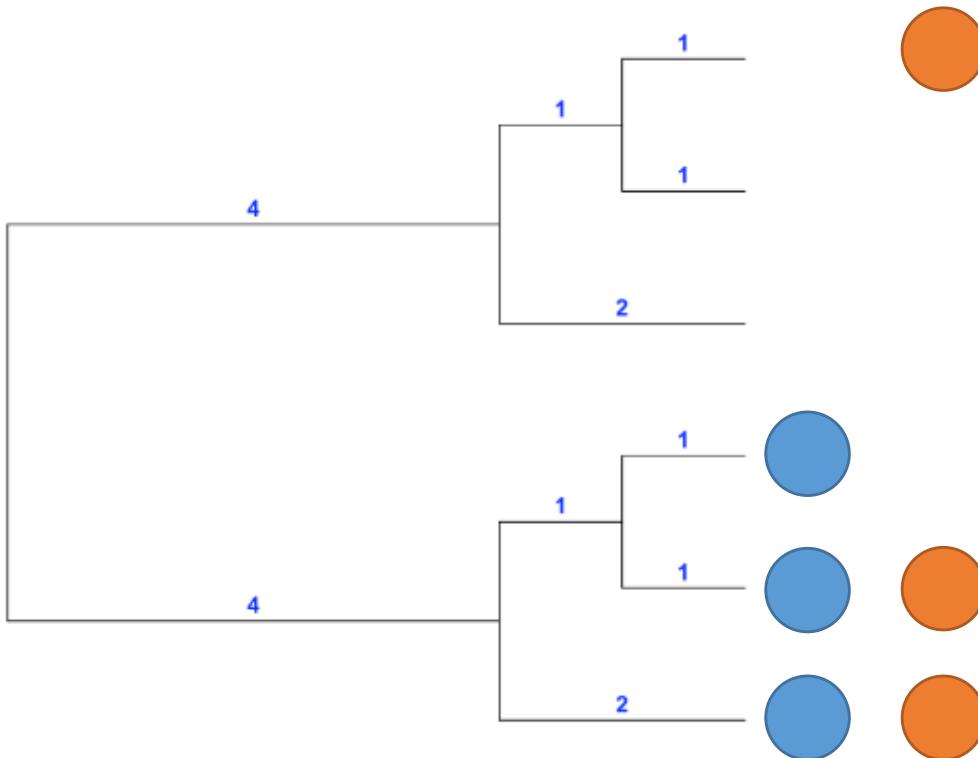
2nd step: use of the phylogenetic distances between organisms to weight the diversity metric



0.1 Phylogenetic diversity

- Faith's index (PD)

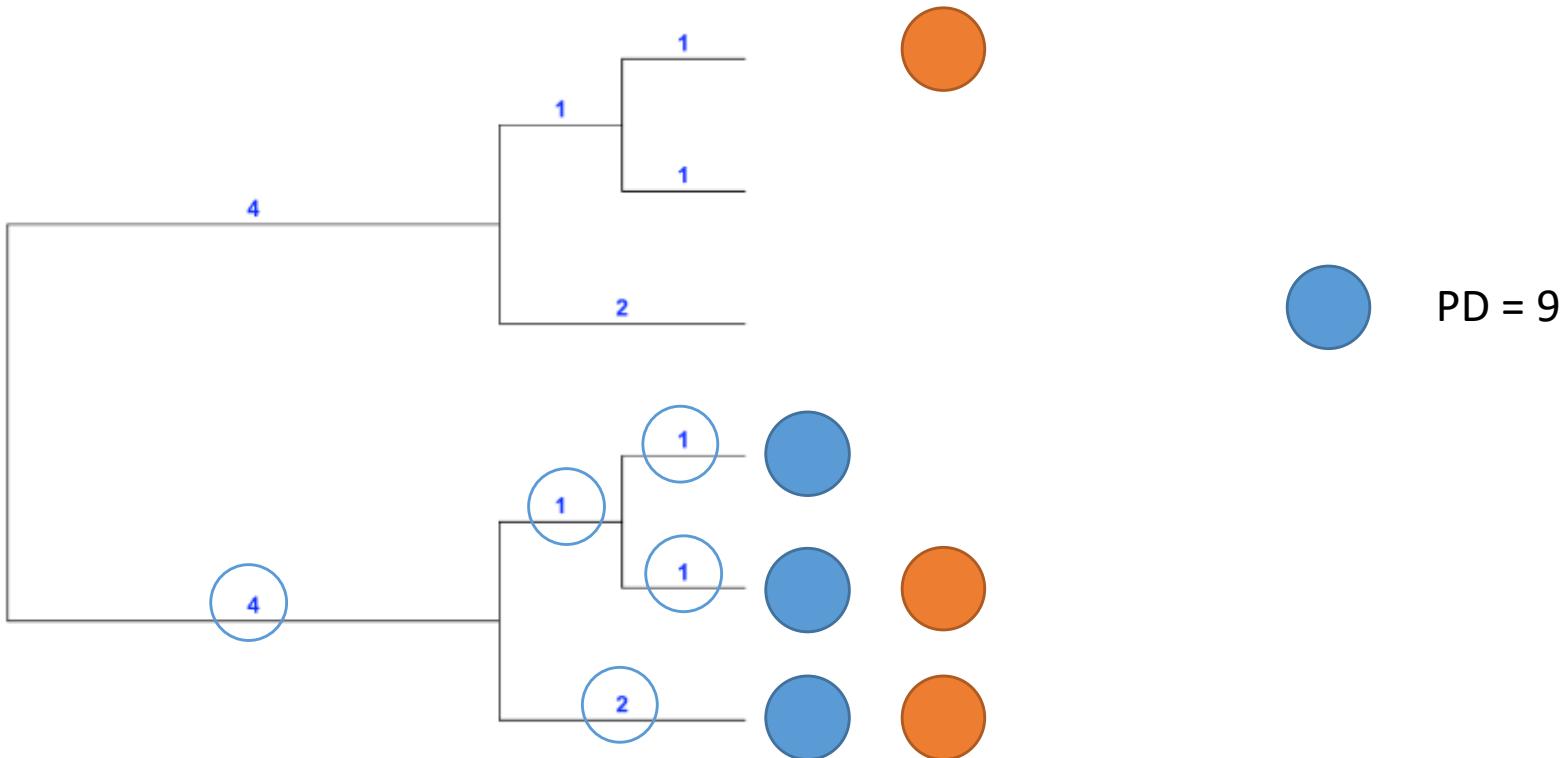
• PD = sum of the lengths of branches where species are occurring



0.1 Phylogenetic diversity

- Faith's index (PD)

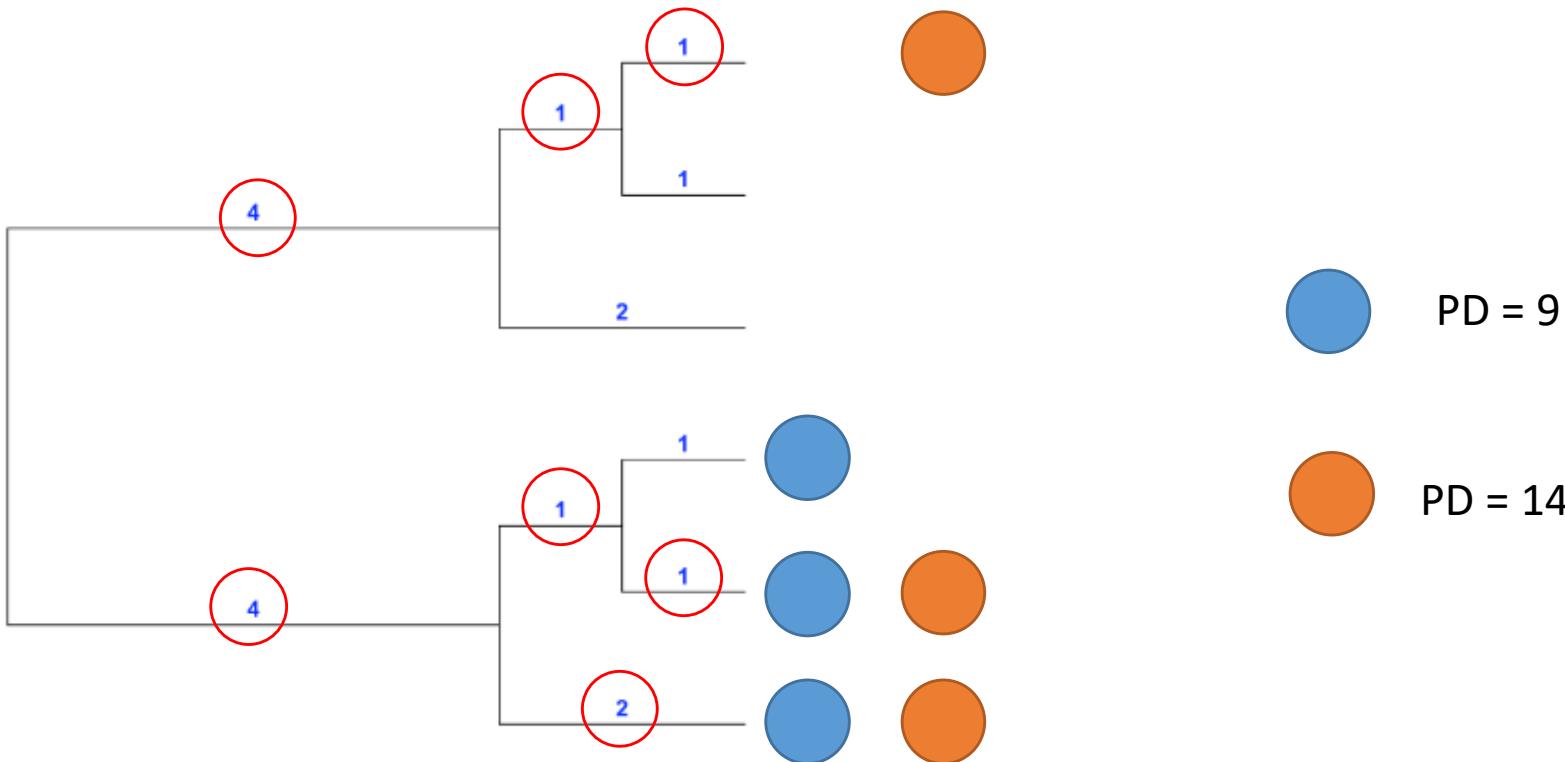
- PD = sum of the lengths of branches where species are occurring



0.1 Phylogenetic diversity

- Faith's index (PD)

• PD = sum of the lengths of branches where species are occurring



0.1 Phylogenetic diversity

- 1st example: gut microbial diversity

Reduced microbial PD in the human body may indicate reduced resilience, and it is now associated with many human diseases

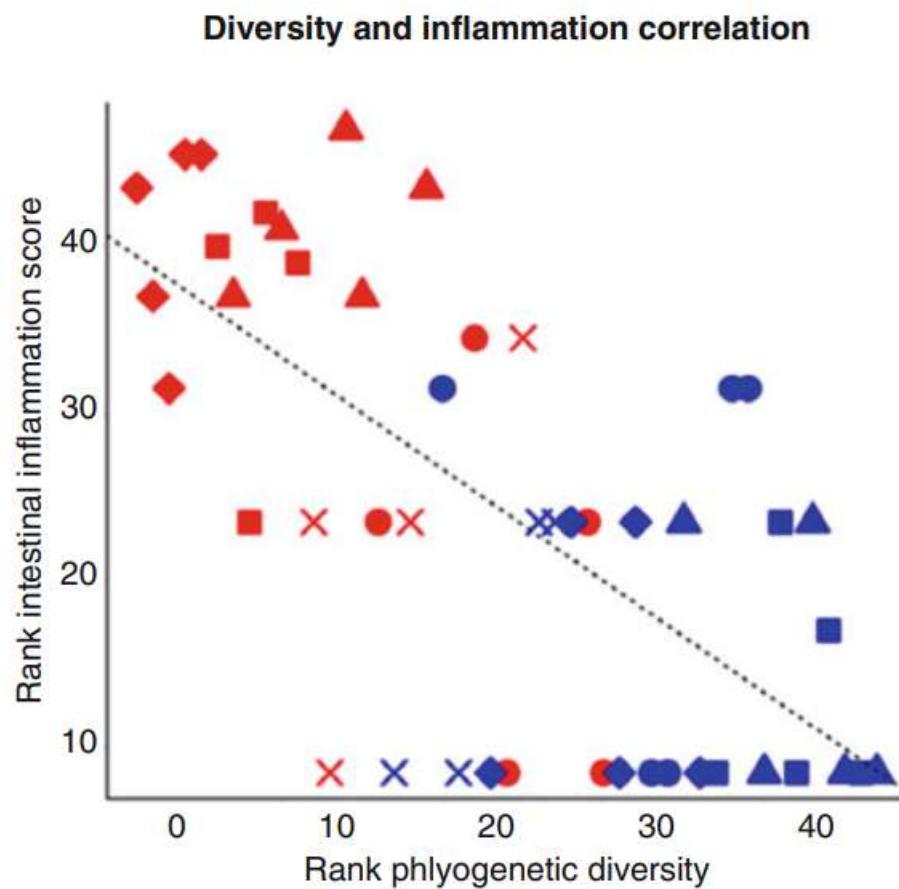


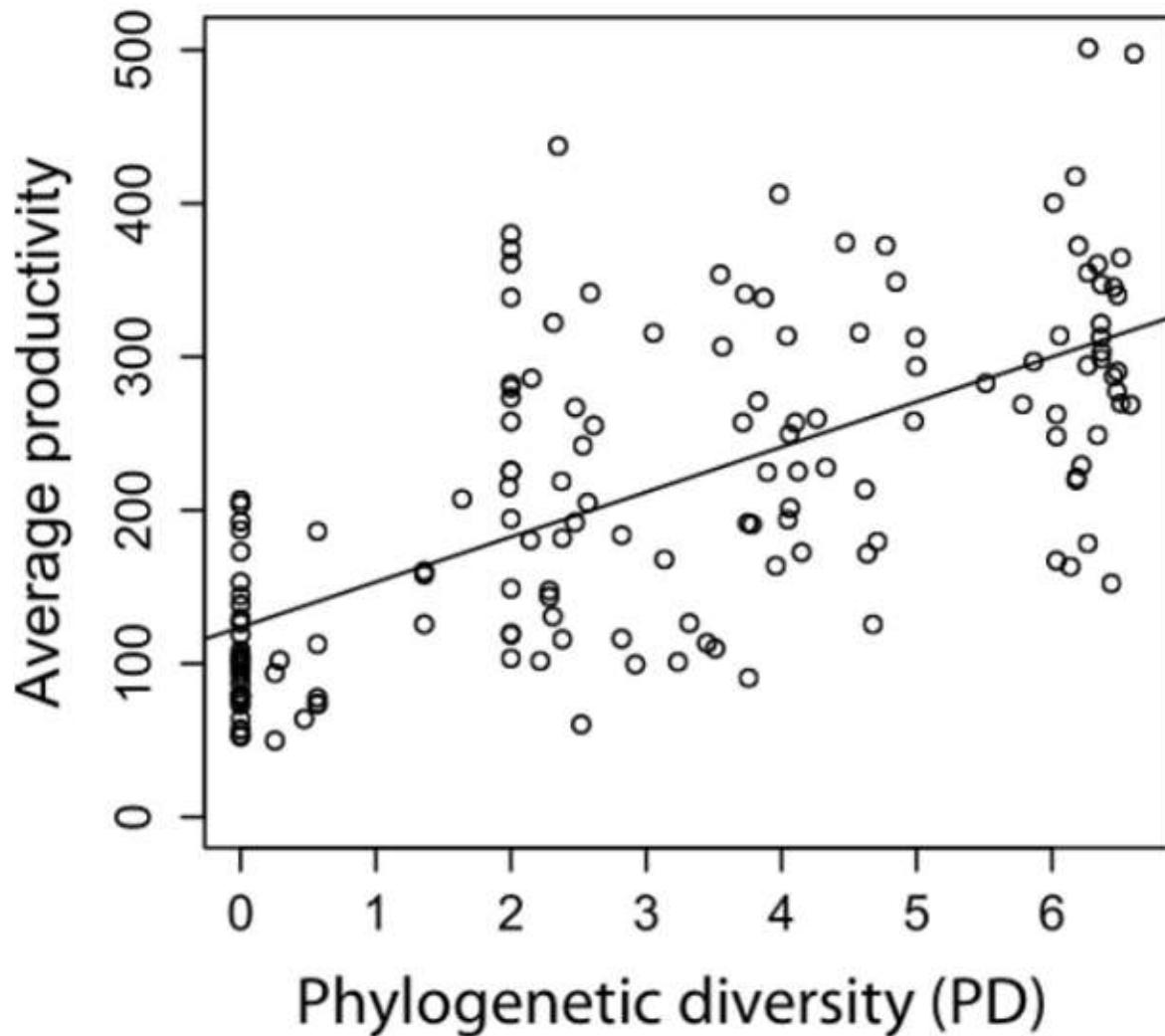
Fig. 1.1 X axis is PD amounts and Y axis is inflammation rating. Blue points indicate less susceptible individuals and red points indicate more susceptible individuals. Shapes of the points indicate treatment groups. Overall, the plot shows that increased inflammation was associated with a decrease in caecal microbial PD. For further information, see Bassett et al. (2015). Figure reproduced from Bassett et al. (2015)

Bassett SA, Young W, Barnett MPG, Cookson AL, McNabb WC, Roy NC (2015) Changes in composition of caecal microbiota associated with increased colon inflammation in interleukin10 gene-deficient mice inoculated with *Enterococcus* species. *Nutrients* 7:1798–1816

0.1 Phylogenetic diversity

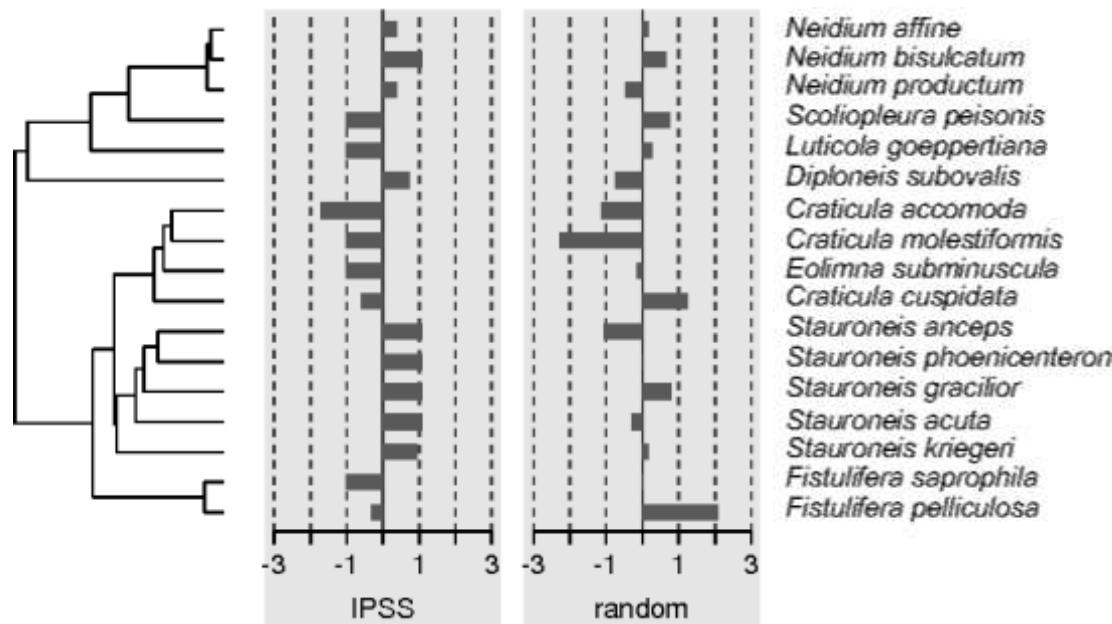
- 2nd example: ecosystem productivity and PD
- Study on a 20 years grassland monitoring (flora)
- Evolutionary relationships among species appear to explain patterns of grassland productivity.

Cadotte MW, Cavender-Bares J, Tilman D, Oakley TH (2009)
Using Phylogenetic, Functional and Trait Diversity to Understand
Patterns of Plant Community Productivity. PLOS ONE 4(5):
e5695. <https://doi.org/10.1371/journal.pone.0005695>
<https://journals.plos.org/plosone/article?id=10.1371/journal.pone.0005695>



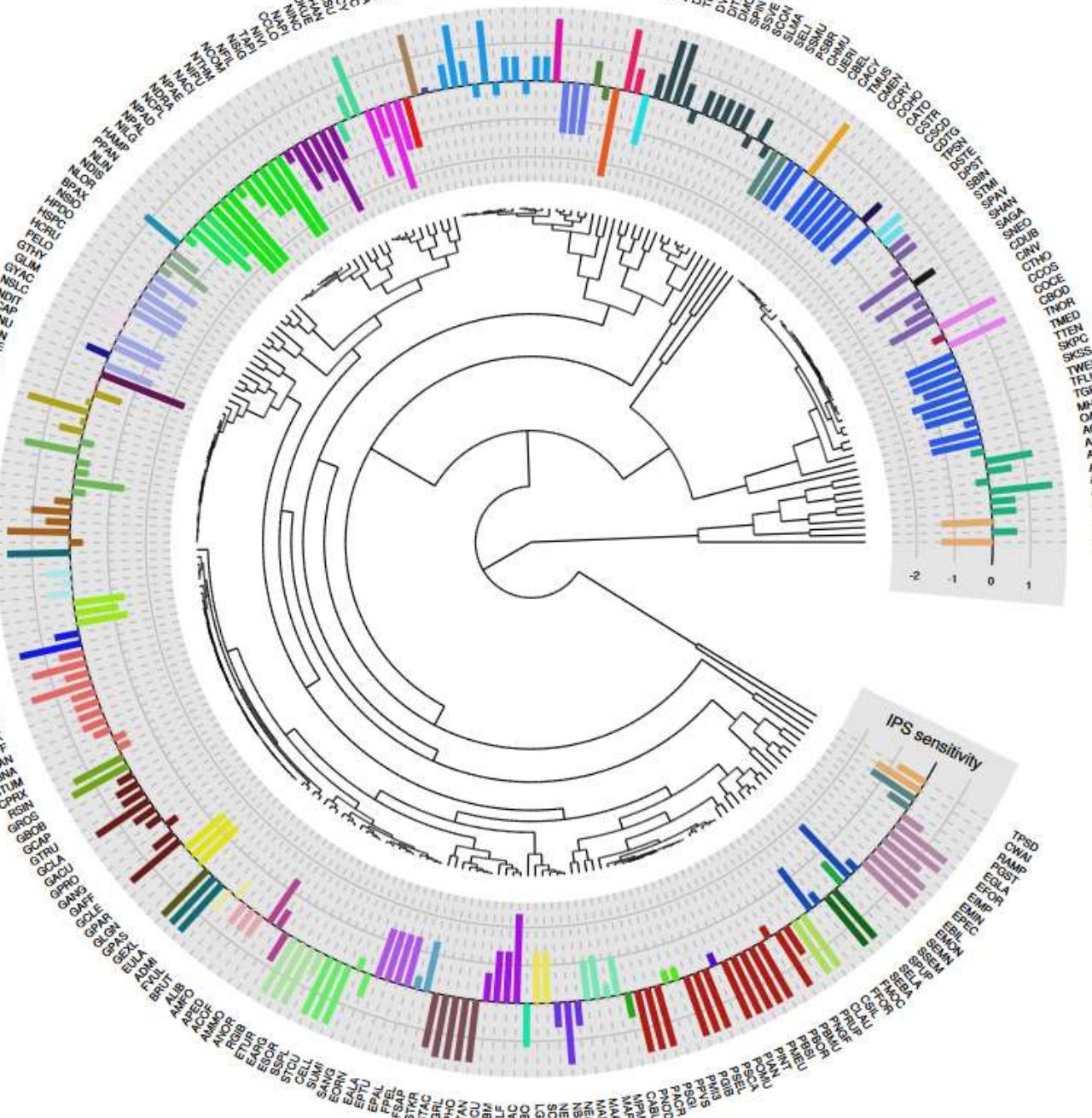
0.2 Measuring phylogenetic signal

- What is phylogenetic signal?
- It is the tendency of related species in a tree to resemble each other more than species taken randomly from the same tree. This pattern is of considerable interest in ecological and evolutionary studies (Münkemüller et al. 2012 Meth. Ecol. Evol.).



Keck, F., et al. 2016. phylosignal: an R package to measure, test, and explore the phylogenetic signal. *Ecology and Evolution* 6, 2774–2780.
<https://doi.org/10.1002/ece3.2051>

- Various indices can quantifying it: Abouheif's Cmean, Pagel's λ , Moran's I, Blomberg's K.



Phylogenetic tree of 262 diatoms species and their respective IPS sensitivity value (s).

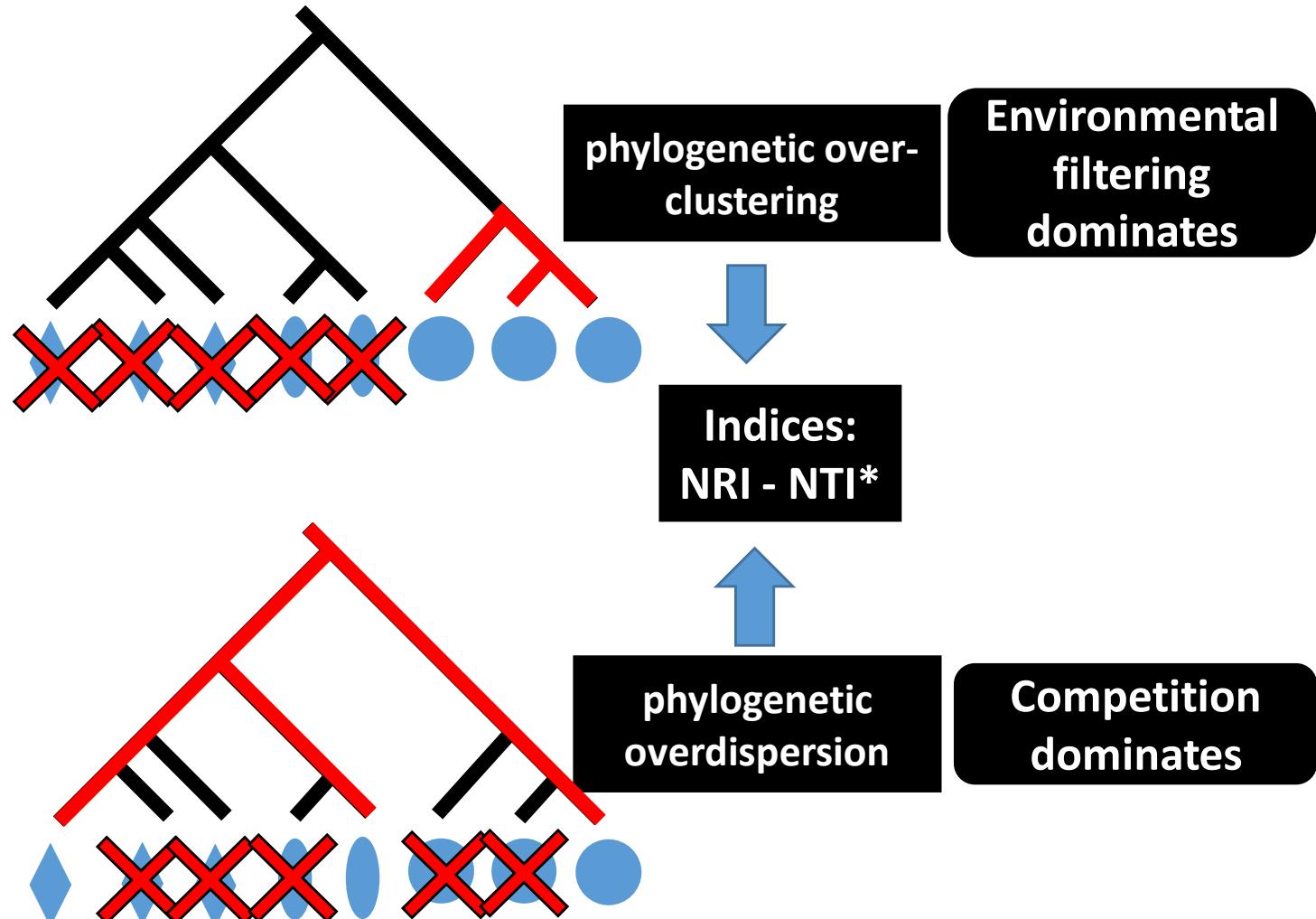
The colors delineate 68 clusters based on $t = 0.6$ and $p = 0.1$. Diatoms names are reported using 4-letter codes (Lecointe et al., 1993, see Appendix B, Section B.2.1 for corresponding Linnaean names).

Keck, F., 2016. Evaluation des liens entre phylogénie et traits écologiques chez les diatomées : pistes d'utilisation pour la bioindication des milieux aquatiques. Thèse. Université Grenoble Alpes.

0.3 Measuring and testing community phylogenetic structure

If there is a niche conservatism in the evolution, then phylogenetic structure of samples can be interpreted in terms of ecological processes

Environmental filtering
vs
Competitive exclusion

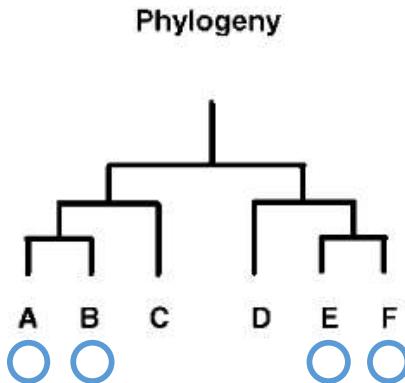


NRI: Net relatedness index

Measure the mean pairwise phylogenetic distance

- Example with a 4 species community
- First: define the community with the highest pairwise distance: ABEF
- Somme of distances/nb of nodes : $22/6 = 3,66$

$$\underbrace{1+5+5+5+5+1=22}_{\text{6 nodes}}$$



Greatest possible mean *pairwise* nodal distance for a community of 4 taxa (given this phylogeny) = 3.66 nodes (for A, B, E, F)

Greatest possible mean *nearest* nodal distance for a community of 4 taxa (given this phylogeny) = 2.00 nodes (for A, C, D, F)

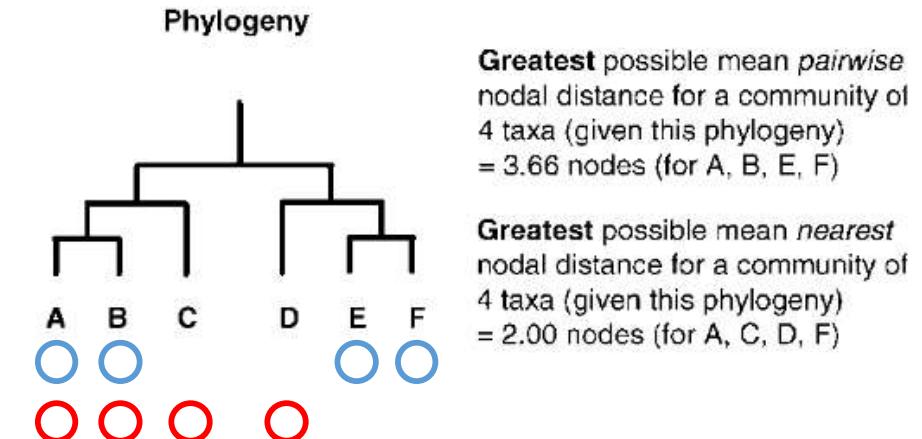
Distance matrix

	A	B	C	D	E	F
A	1	2	4	5	5	
B	1		2	4	5	5
C	2	2		3	4	4
D	4	4	3		2	2
E	5	5	4	2		1
F	5	5	4	2	1	

NRI: Net relatedness index

Measure the mean pairwise phylogenetic distance

- Example with a 4 species community
- First: define the community with the highest pairwise distance: ABEF
- Somme of distances/nb of nodes : $22/6 = 3,66$
- Compare 2 communities
 - ABCD :
 - Mean pairwise distance : $(1+2+2+4+4+3)/6$ nodes = 2,66
 - NRI (Net index) : $1 - 2,66/3,66 = 0,273$
 - ABEF
 - Mean pairwise distance : $(1 + 5 + 5 + 5 + 5 + 1) / 6 = 3,66$
 - NRI (Net index) : $1 - (3,66 / 3,66) = 0$



Distance matrix

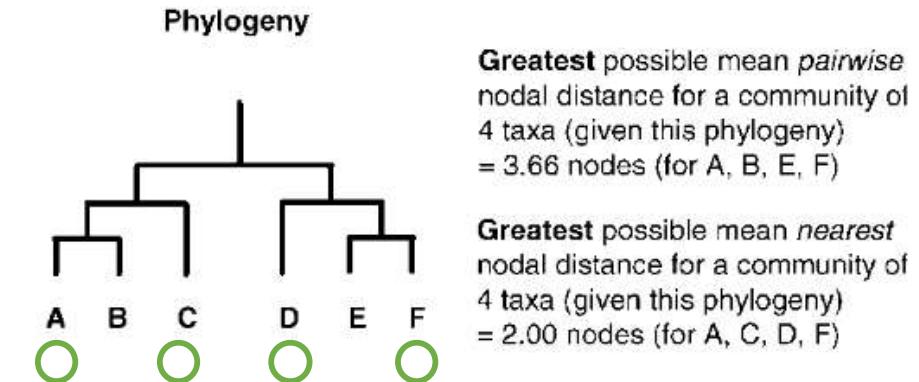
	A	B	C	D	E	F
A	1	2	4	5	5	5
B	1		2	4	5	5
C	2	2		3	4	4
D	4	4	3		2	2
E	5	5	4	2		1
F	5	5	4	2	1	

> ABCD is less dispersed than ABEF in terms of average distances

NTI: Nearest Taxon index

Measure the mean nearest phylogenetic neighbor

- Example with a 4 species community
- First: define the community with the greatest possible mean nearest nodal distances: ACDF
(A->C, C->A, D->F, F->D)
- Somme of distances/nb of nodes : $8/4 = 2$



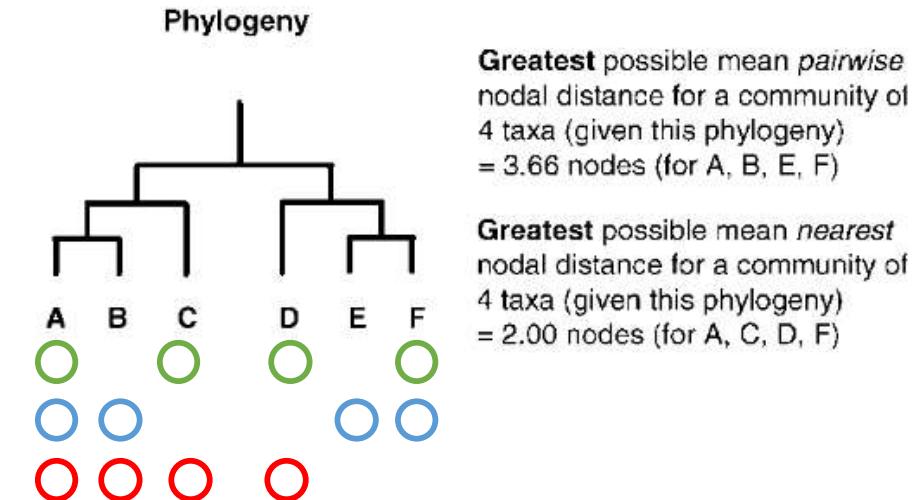
Distance matrix

	A	B	C	D	E	F
A	1		2	4	5	5
B	1		2	4	5	5
C	2		2	3	4	4
D	4	4	3		2	2
E	5	5	4	2		1
F	5	5	4	2	1	

NTI: Nearest Taxon index

Measure the mean nearest phylogenetic neighbor

- Example with 4 species
- First: define the community with the greatest possible mean nearest nodal distances: ACDF
(A->C, C->A, D->F, F->D)
- Somme of distances/nb of nodes : $8/4 = 2$
- Compare 2 communities
 - ABCD :
 - Mean nearest nodal distance : $(1+1+2+3)/4$ nodes = 1,75
 - NTI (Net index) : $1 - 1,75/2 = 0,125$
- ABEF
 - -
 - -
 - -



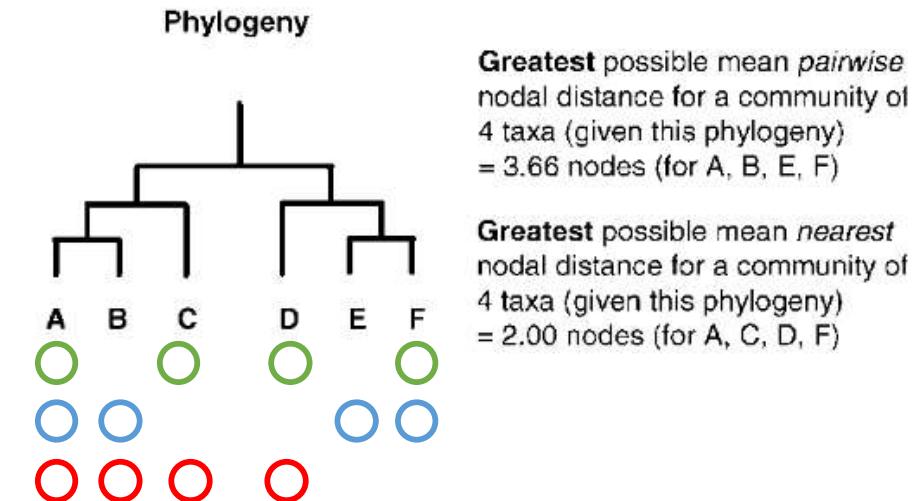
Distance matrix

	A	B	C	D	E	F
A	1	2		4	5	5
B	1		2	4	5	5
C	2	2		3	4	4
D	4	4	3		2	2
E	5	5	4	2		1
F	5	5	4	2	1	

NTI: Nearest Taxon index

Measure the mean nearest phylogenetic neighbor

- Example with 4 species
- First: define the community with the greatest possible mean nearest nodal distances: ACDF
(A->C, C->A, D->F, F->D)
- Somme of distances/nb of nodes : $8/4 = 2$
- Compare 2 communities
 - ABCD :
 - Mean nearest nodal distance : $(1+1+2+3)/4 \text{ nodes} = 1,75$
 - NTI (Net index) : $1 - 1,75/2 = 0,125$
 - ABEF
 - Mean nearest nodal distance : $(1+1+1+1)/4 \text{ nodes} = 1$
 - NTI (Net index) : $1 - 1/2 = 0,5$



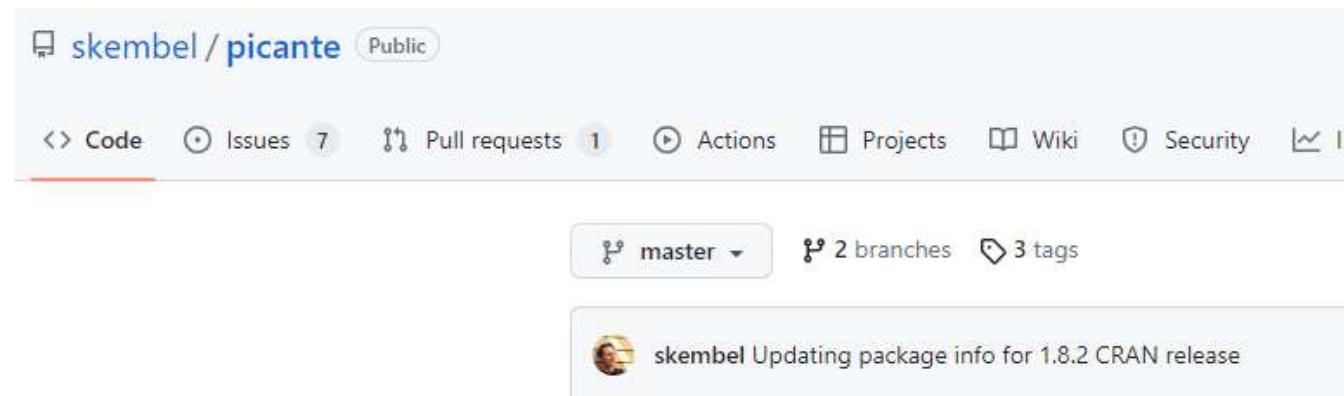
Distance matrix

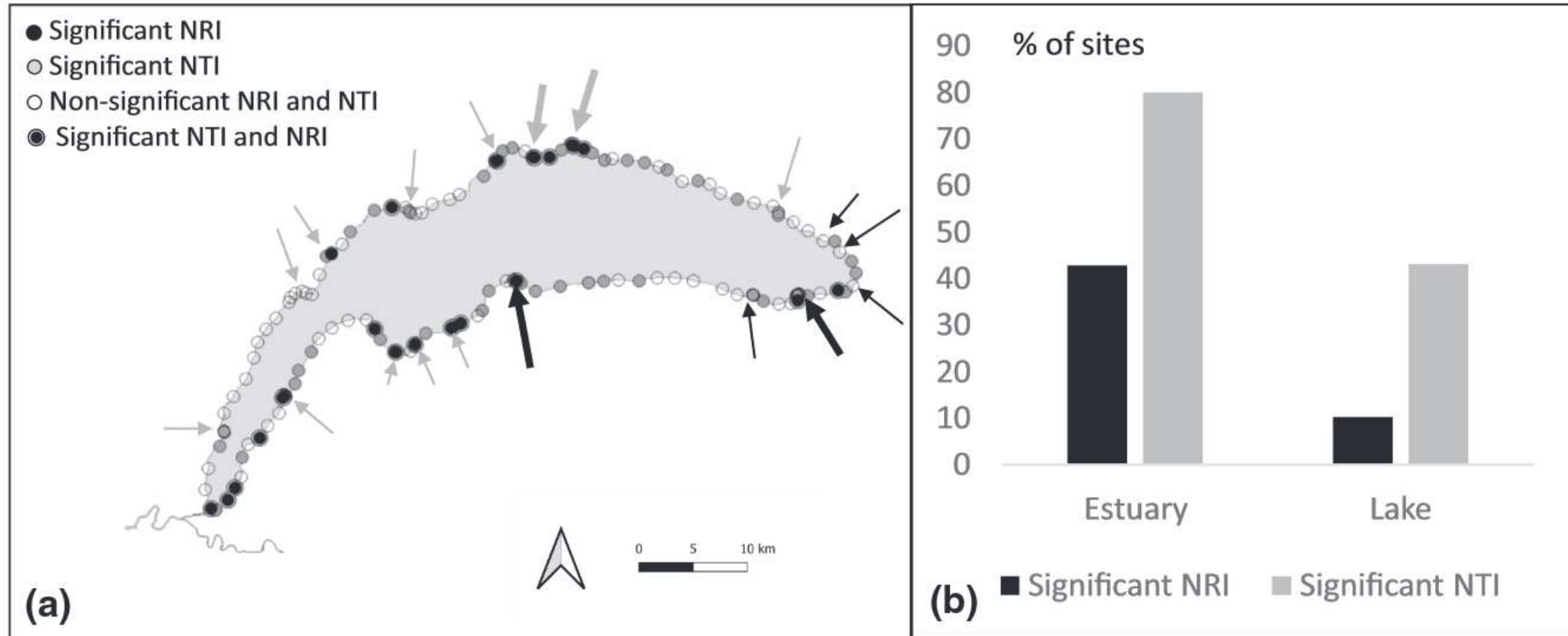
	A	B	C	D	E	F
A	1	2	4	5	5	
B	1		2	4	5	5
C	2	2		3	4	4
D	4	4	3		2	2
E	5	5	4	2		1
F	5	5	4	2	1	

> ABCD is more dispersed than ABEF in terms of average nearest neighbor

NRI and NTI calculation

- Calculations are carried out using the picante package
- The NRI and NTI values of each sample are compared to a null model (randomisation process) and a p-value is associated to NRI and NTI values





- Assessment of environmental filtering vs competition in diatom communities of lake Geneva: only environmental filtering (over-clustering)
- Rimet, F., Canino, A., Chonova, T., Guéguen, J., Bouchez, A., 2023. Environmental filtering and mass effect are two important processes driving lake benthic diatoms: Results of a DNA metabarcoding study in a large lake. *Molecular Ecology* 32, 124–137. <https://doi.org/10.1111/mec.16737>

Schedule



0. Including phylogenies into ecological studies

- 0.1 Phylogenetic diversity
- 0.2 Measuring phylogenetic signal
- 0.3 Measuring and testing community phylogenetic structure

1. Theory of sequence alignment

- 1.1 What is an alignment?
- 1.2 Objective of aligning sequences
- 1.3 Edit distance
- 1.4 Local alignments
- 1.5 Global alignments

2. Phylogenies

- 2.1 Choose appropriate model of sequence evolution
- 2.2 Phylogeny inference
- 2.3 Graphical representation of phylogenies

3. Phylogenetic placement

- 3.1 Definition
- 3.2 Why using this
- 3.3 Example with RaxML in R

4. Ecophylogenetic training in R (R studio)

PD
NRI NTI



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1.1 What is an alignment?

- Sequence alignment is the procedure of comparing 2 (pairwise alignment) or several sequences by searching series of individual characters or patterns that are in the same order in the sequences.

- Pairwise

```
|b| JN418582.1| Pinnularia sp. 7 CS-2 AAGCTCGTAGTTGGATGTGTG-GTGGCTCGTGCGGTCCAAAATGTTTGGTGCTGTGTTG  
|b| JN418583.1| Pinnularia sp. 8 CS-2 AAGCTCGTAGTTGGATTGTGGTGTTGCC-TGCAGTCCAATTAGCTTGGTGCTAGCGGG
```

- Multiple

```
|b| JN418582.1| Pinnularia sp. 7 CS-2 AAGCTCGTAGTTGGATGTGTG-GTGGCTCGTGCGGTCCAAAATGTTTGGTGCTGTGTTG  
|b| JN418583.1| Pinnularia sp. 8 CS-2 AAGCTCGTAGTTGGATTGTGGTGTTGCC-TGCAGTCCAATTAGCTTGGTGCTAGCGGG  
|b| JN418584.1| Pinnularia subcommuta AAGCTCGTAGTTGGACTTGTGGTGGTGCCC-TTGGTCCAAAATGTTTGGTATTAAAGGG  
|b| JN418585.1| Pinnularia neomajor s GAGCTCGTAGTTGAATCTGTGGTGGTACCTGGGTCCCTAAATGTT-TGGTTCCCTGGG  
|b| JN418586.1| Pinnularia sp. 9 CS-2 AAGCTCGTAGTTGRATTTGTGGAGGTTCAC-AATGGTCCAAAATGTTTGGTACTGTTGCG  
|b| JN418587.1| Pinnularia nodosa str. AAGCTCGTAGTTGGATTGTGGTAGTGCC-TGCGGTCCAAAAT-TTTGGTACTGCTGGGT  
|b| JN418588.1| Pinnularia grunowii s AAGCTCGTAGTTGGATTGTGGCGGCCATCTGTGGTCCGAATTGTTGGTACTGCGTGGT  
|b| JN418589.1| Pinnularia viridiform AAGCTCGTAGTTGRATCTGTGGTGGTTCCCTGGGTCCAAAATGTTTGGTATCAAG-GG  
|b| JN418590.1| Pinnularia sp. 10 CS- AAGCTCGTAGTTGGACCTGTGGAGGCGTGG-GACGTCCAAAATGTTTGGTACGGCTTGT  
|b| JN418591.1| Pinnularia parvulissi AAGCTTGTAGTTGGATTGTGGTGCTACCTGCAGTCCAATTAGTTGGTGCTAGCGGGT
```

1.2 Objective of aligning sequences

- Major objective: compare sequences between each other
- Applied objectives:
 - Find evolutionary relationships
 - To search databases (eg reference barcoding libraries) -> pairwise alignment
 - Prediction of protein structure and function (if same sequences -> same 3D structure -> same function)

1.3 Edit distance (Levenshtein distance)

- How do we measure distance between strings?
- The edit distance between 2 strings is defined as the minimum number of edits needed to transform one string into the other, with the following edit operations:
 - Insertion
 - Deletion
 - Substitution

of a single character

SOVIET PHYSICS-DOKLADY

VOL. 16, NO. 8

FEBRUARY, 1966

CYBERNETICS AND CONTROL THEORY

BINARY CODES CAPABLE OF CORRECTING DELETIONS, INSERTIONS, AND REVERSALS

V. I. Levenshtein

(Presented by Academician P. S. Novikov, January 4, 1965)
Translated from Doklady Akademii Nauk SSSR, Vol. 163, No. 4,
pp. 845-848, August, 1965
Original article submitted January 2, 1965

Investigations of transmission of binary information usually consider a channel model in which failures of the type $0 \rightarrow 1$ and $1 \rightarrow 0$ (which we will call reversals) are admitted. In the present paper

were inserted (deleted) from at least one of the words x or y to obtain z ; are deleted from (inserted into) the word z , then, as we can easily see, we obtain a word that can be obtained from both x and y .

1.3 Edit distance (Levenshtein distance)

- How do we measure distance between strings?
- The edit distance between 2 strings is defined as the minimum number of edits needed to transform one string into the other, with the following edit operations :
 - Insertion: helo \rightarrow hello
 - Deletion: helo \rightarrow he-o
 - Substitution: helo \rightarrow help

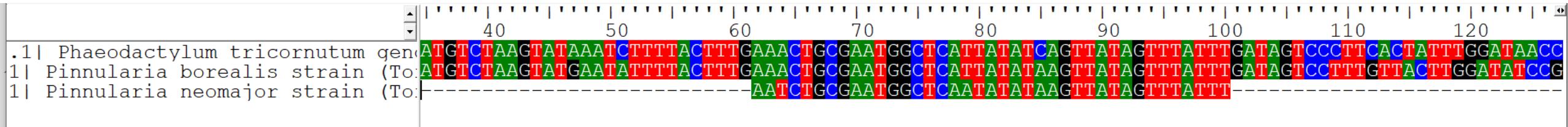
of a single character

- Try with:
kitten \rightarrow sitting
kitten \rightarrow sitten \rightarrow sittin \rightarrow sitting \rightarrow distance = 3



1.4 Local alignment

- Local alignment is to try to find the regions with highest density of matches.



- Local alignment is based on Smith-Waterman: Focuses on the region of greatest similarity between two sequences
- Suitable for aligning more divergent sequences. Used for performing searches on large databases

1.4 Local alignment

Use the following file:

Query.fasta

and blast it on NCBI nucleotide

<https://blast.ncbi.nlm.nih.gov/Blast.cgi>

The screenshot shows the NCBI BLAST search results page. At the top, the URL is blast.ncbi.nlm.nih.gov/Blast.cgi. Below the URL, there is a banner stating "An official website of the United States government" and "NIH National Library of Medicine". The main title of the search is "BLAST™ = blastn suite = results for RID-3F8X5D64013". On the right side, there are links for "Home", "Recent Results", "Saved Strategies", and "Help". Below the title, there are buttons for "Edit Search", "Save Search", and "Search Summary". To the right of these buttons are links for "How to read this report?", "BLAST Help Videos", and "Back to Traditional Results Page".

The search parameters are listed in a table:

Job Title	Query
RID	3F8X5D64013 (Search expired on 04-14 14:05 pm) Download All
Program	BLASTN Citation
Database	nt See details
Query ID	IdlQuery_61745
Description	Query
Molecule type	dna
Query Length	1703
Other reports	Distance tree of results MSA viewer

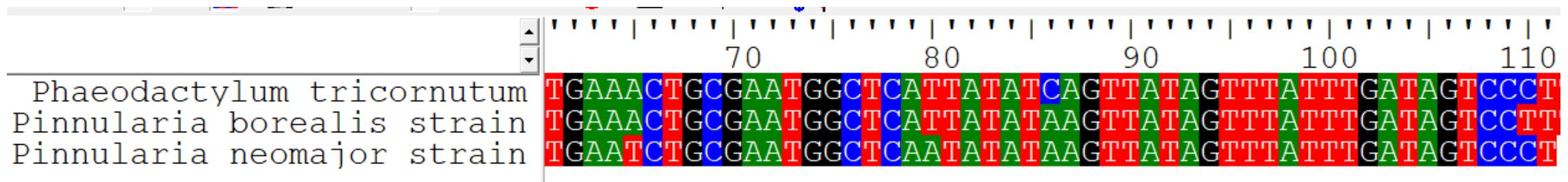
On the right, there is a "Filter Results" section with fields for "Organism" (only top 20 will appear), "Percent Identity", "E value", and "Query Coverage". There are also buttons for "Filter" and "Reset".

The main results table has tabs for "Descriptions", "Graphic Summary", "Alignments", and "Taxonomy". The "Descriptions" tab is selected. It shows a list of sequences producing significant alignments, each with a checkbox and a link to the sequence details.

Sequences producing significant alignments		Download	Select columns	Show 100				
<input checked="" type="checkbox"/> select all 100 sequences selected		GenBank	Graphics	Distance tree of results				
	Description	Scientific Name	Max Score	Total Cover	E value	Per. ident.	Acc Len	Accession
<input checked="" type="checkbox"/>	Pinnularia violiformis strain Genc21a 18S ribosomal RNA gene, partial sequence	Pinnularia violita	3145	3145	100%	0.0	100.00%	1703 JN418574.1
<input checked="" type="checkbox"/>	Pinnularia nemorum strain Torf1a 18S ribosomal RNA gene, partial sequence	Pinnularia nemorum	2928	2928	96%	0.0	98.72%	1647 JN418571.1
<input checked="" type="checkbox"/>	Pinnularia violiformis strain Pn-87B-MQ-18S ribosomal RNA gene, partial sequence	Pinnularia violita	2647	2647	100%	0.0	94.72%	1702 JN418589.1
<input checked="" type="checkbox"/>	Pinnularia neopeltiformis strain CBac2019015 small subunit ribosomal RNA gene, partial sequence	Pinnularia neope	2604	2604	100%	0.0	94.26%	1705 JN418523.1
<input checked="" type="checkbox"/>	Pinnularia neopeltiformis strain Pn-708 F 18S ribosomal RNA gene, partial sequence	Pinnularia neope	2604	2604	100%	0.0	94.26%	1706 JN418586.1
<input checked="" type="checkbox"/>	Pinnularia violiformis 18S rRNA gene, strain AT-70-10	Pinnularia violita	2583	2583	100%	0.0	94.15%	1738 AM001985.1
<input checked="" type="checkbox"/>	Pinnularia acuminata strain Pn-678-TM 18S ribosomal RNA gene, partial sequence	Pinnularia acumi	2579	2579	100%	0.0	93.90%	1703 JN418567.1
<input checked="" type="checkbox"/>	Pinnularia subfruticosa 18S rRNA gene, strain AT-70-08	Pinnularia subfr	2558	2558	100%	0.0	93.72%	1736 AM002038.1
<input checked="" type="checkbox"/>	Pinnularia sp. 118 18S ribosomal RNA gene, partial sequence	Pinnularia sp. 118	2540	2540	100%	0.0	93.57%	1780 KJ961668.1

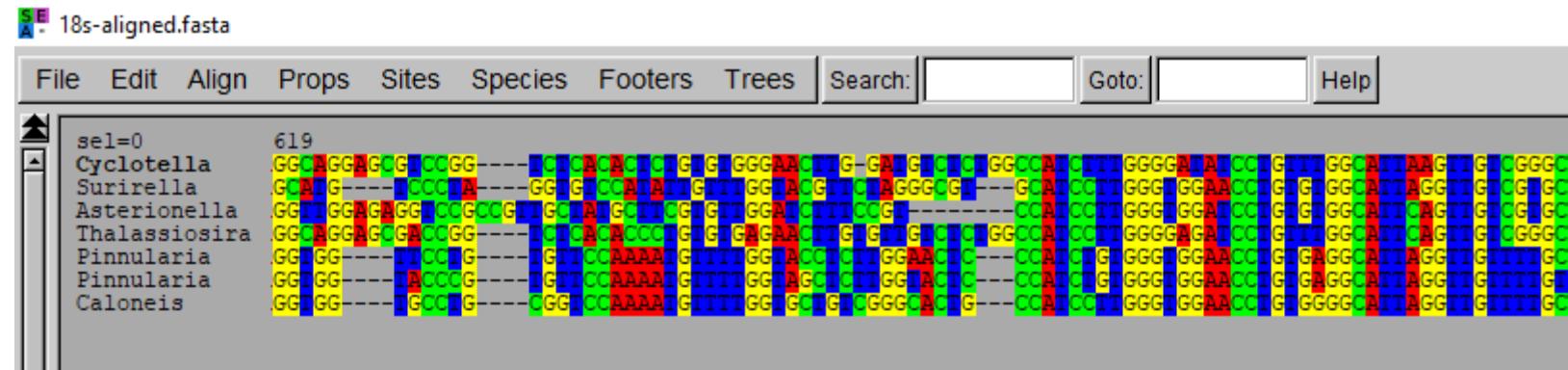
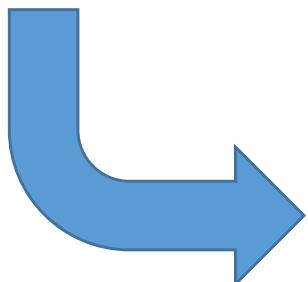
1.5 Global alignment

- A global alignment is attempting to match as much of the sequence as possible.
- Global alignment is based on Needleman-Wunsch algorithm.
- Suitable for aligning two closely related sequences, homologous genes (=gene inherited in two species from a common ancestor)



1.5 Global alignment

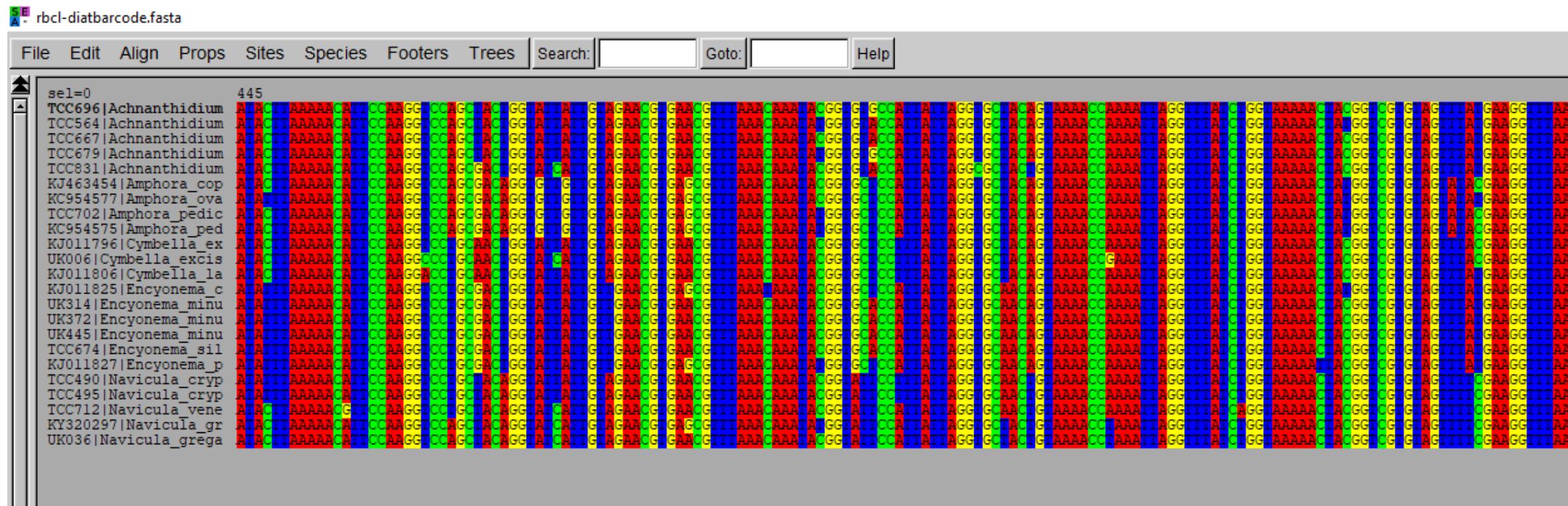
- 1st example, use file « 18s-to align.fasta »
- Use SeaView : <https://doua.prabi.fr/software/seaview>



Presence of insertion/deletions/substitution

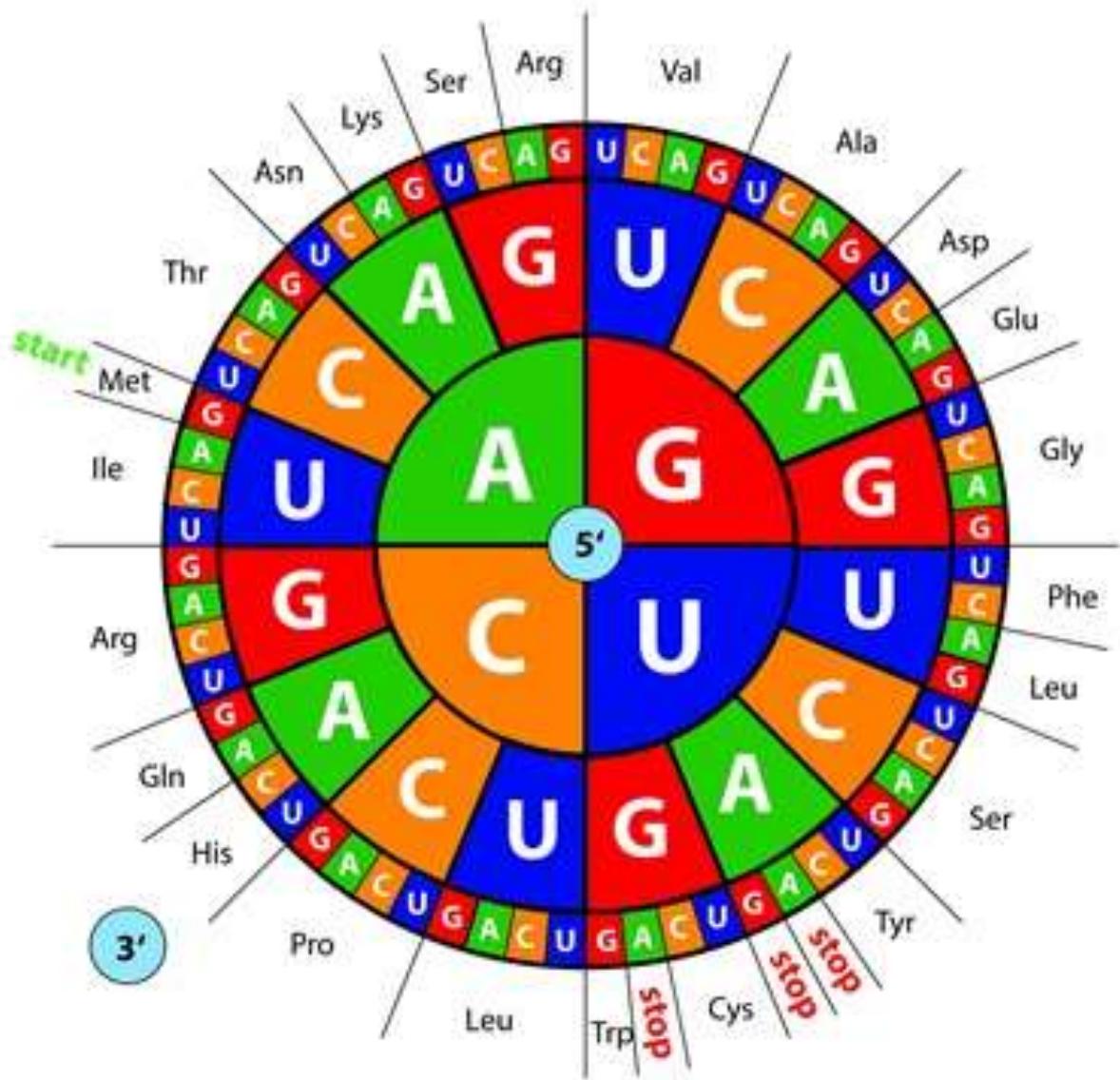
1.5 Global alignment

- 2nd example, use file: « rbcl-diatbarcode.fasta »
- Use SeaView to open « rbcl-diatbarcode.fasta » (don't align it, it's already done)



Absence of insertion/deletions: it is a coding marker

- 3 nucleotides
= 1 codon
= 1 amino acid
or a stop codon



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1. Theory of sequence alignment

- 1.1 What is an alignment?
- 1.2 Objective of aligning sequences
- 1.3 Edit distance
- 1.4 Local alignments
- 1.5 Global alignments

2. Phylogenies

- 2.1 Choose appropriate model of sequence evolution
- 2.2 Phylogeny inference
- 2.3 Graphical representation of phylogenies

3. Phylogenetic placement

- 3.1 Definition
- 3.2 Why using this
- 3.3 Example with RaxML in R

4. Ecophylogenetic training in R (R studio)

- PD
- NRI NTI

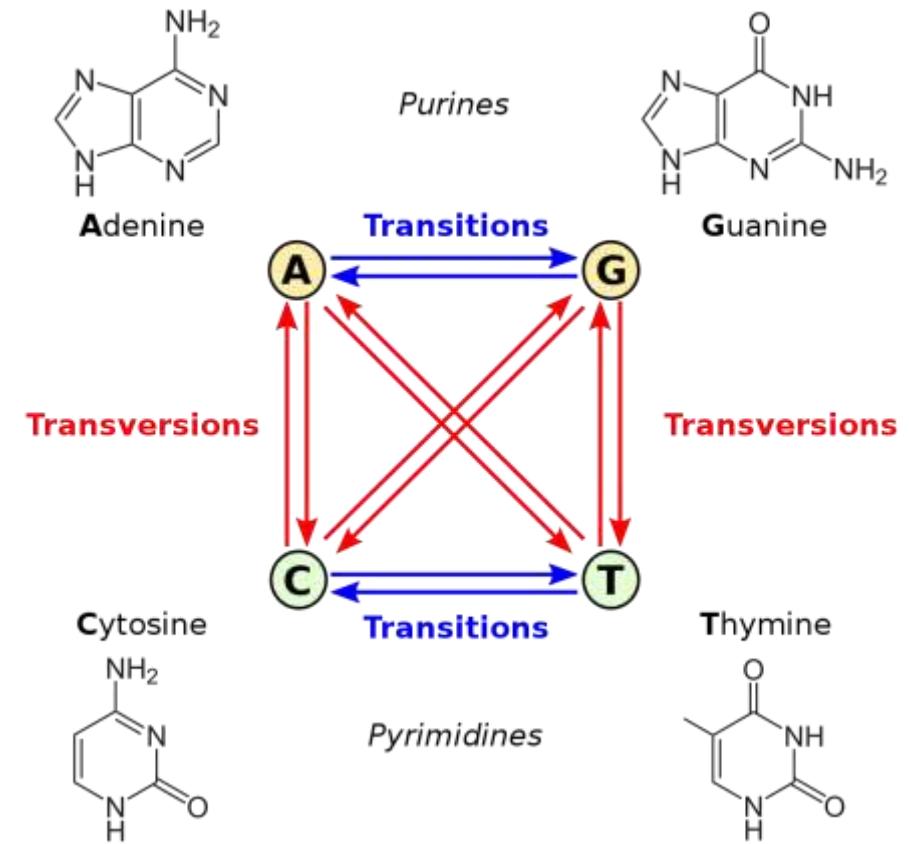


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2.1 Choose appropriate models of sequence evolution

- 4 nucleotides
 - A=T -> 2 hydrogen bonds
 - C≡G -> 3 hydrogen bonds
 - A, G: double ring structure
 - C, T: single ring structure
- 2 kinds of mutations :
 - Transitions
 - Transversions
- Transitions are more frequent than Transversions (easier to change from a single ring structure to a single ring structure, than from a single to double ring structure)

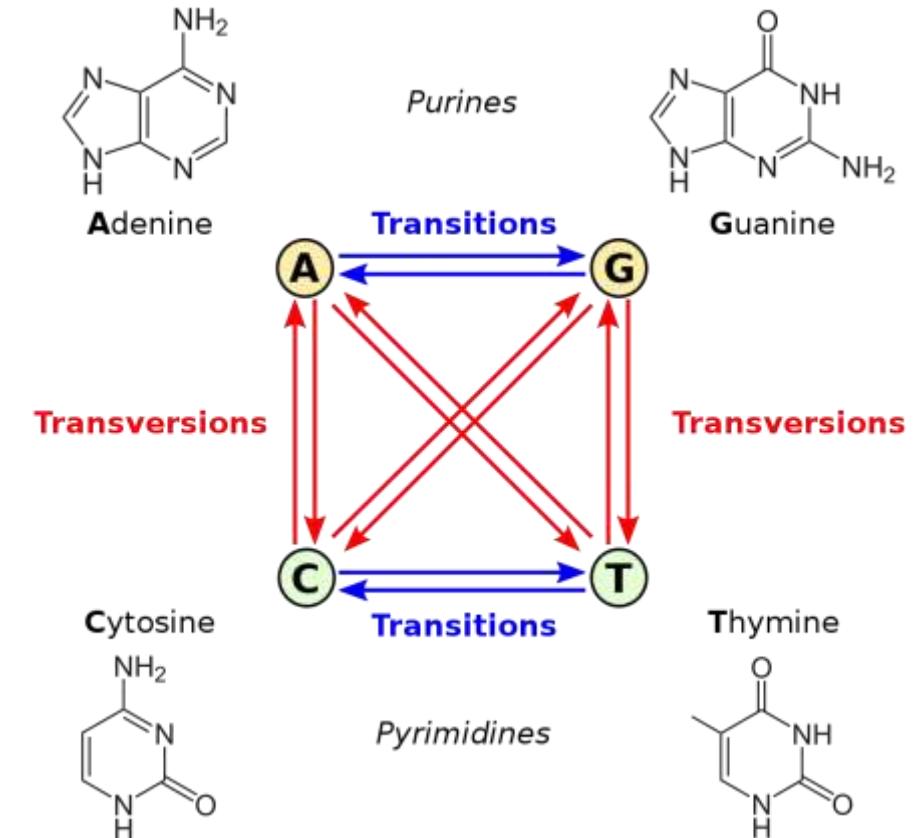


2.1 Choose appropriate models of sequence evolution

- to model the substitution process in DNA sequences, the corresponding transition matrices will look like the following matrix

$$P(t) = \begin{pmatrix} p_{AA}(t) & p_{AG}(t) & p_{AC}(t) & p_{AT}(t) \\ p_{GA}(t) & p_{GG}(t) & p_{GC}(t) & p_{GT}(t) \\ p_{CA}(t) & p_{CG}(t) & p_{CC}(t) & p_{CT}(t) \\ p_{TA}(t) & p_{TG}(t) & p_{TC}(t) & p_{TT}(t) \end{pmatrix}$$

Where $p(t)$ probability to change from a nucleotide to another in a time t



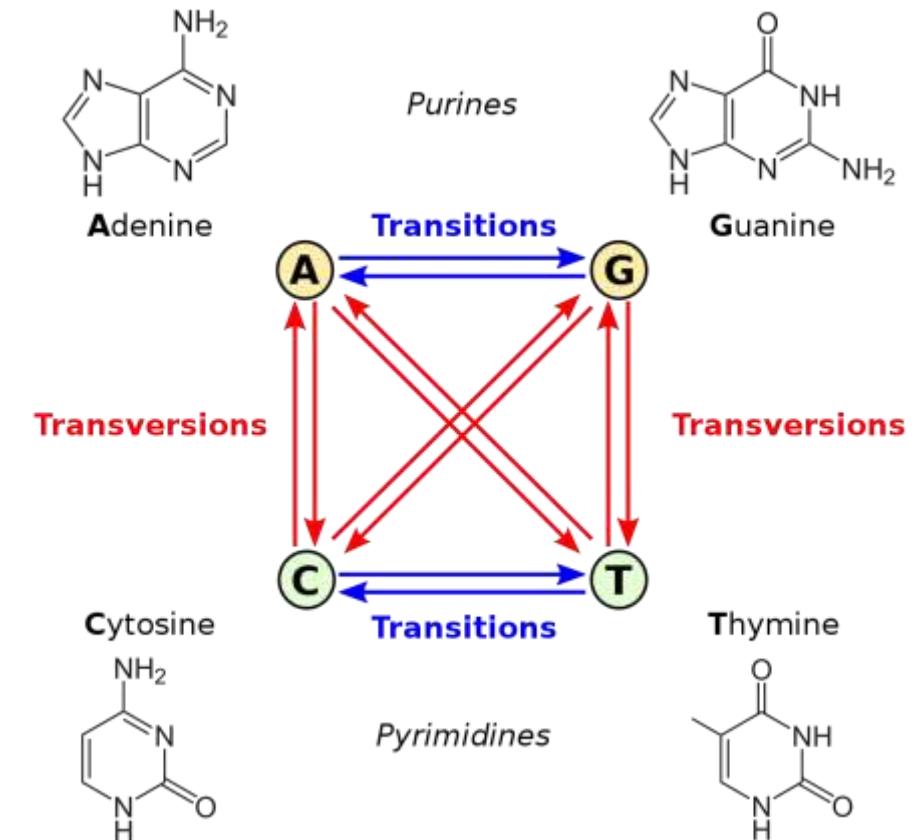
2.1 Choose appropriate models of sequence evolution

- Also given in the rate matrix (Q matrix),
 μ = mutation rate

$$Q = \begin{pmatrix} -\mu_A & \mu_{AG} & \mu_{AC} & \mu_{AT} \\ \mu_{GA} & -\mu_G & \mu_{GC} & \mu_{GT} \\ \mu_{CA} & \mu_{CG} & -\mu_C & \mu_{CT} \\ \mu_{TA} & \mu_{TG} & \mu_{TC} & -\mu_T \end{pmatrix}$$

$$\mu_A = \mu_{AG} + \mu_{AC} + \mu_{AT}$$

Sum of entries of Q equals 0

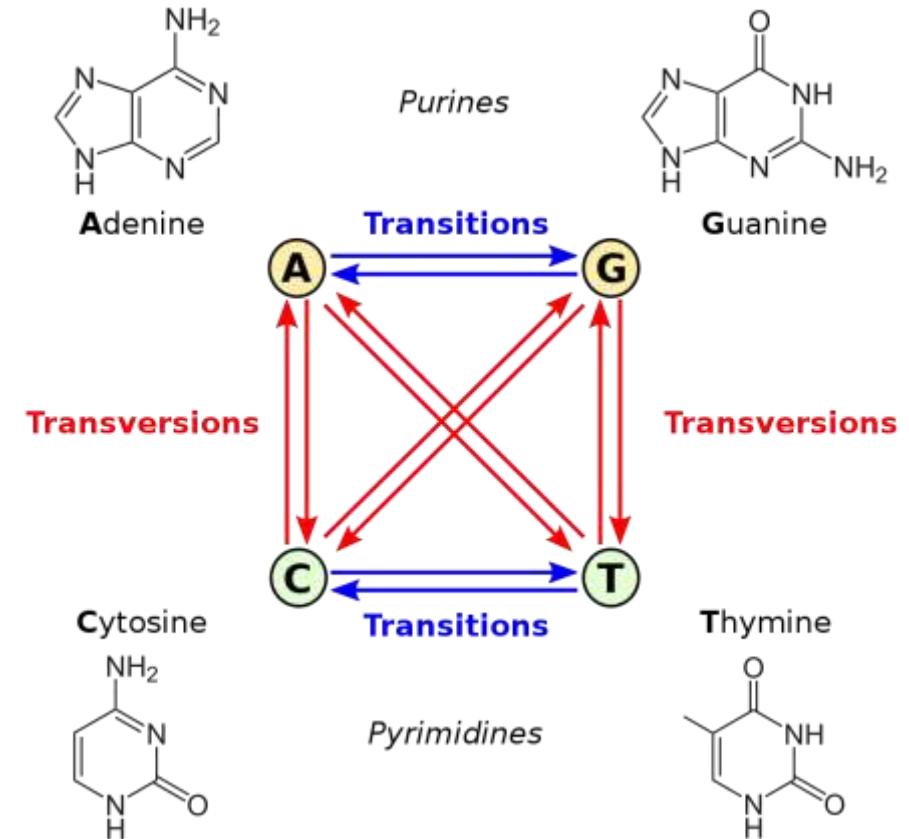


2.1 Choose appropriate models of sequence evolution

- The simplest model: Juke & Cantor 1969

$$Q = \begin{pmatrix} * & \frac{\mu}{4} & \frac{\mu}{4} & \frac{\mu}{4} \\ \frac{\mu}{4} & * & \frac{\mu}{4} & \frac{\mu}{4} \\ \frac{\mu}{4} & \frac{\mu}{4} & * & \frac{\mu}{4} \\ \frac{\mu}{4} & \frac{\mu}{4} & \frac{\mu}{4} & * \end{pmatrix}$$

- Strong hypothesis:
 - Equal base frequencies (1/4)
 - Equal mutation rates

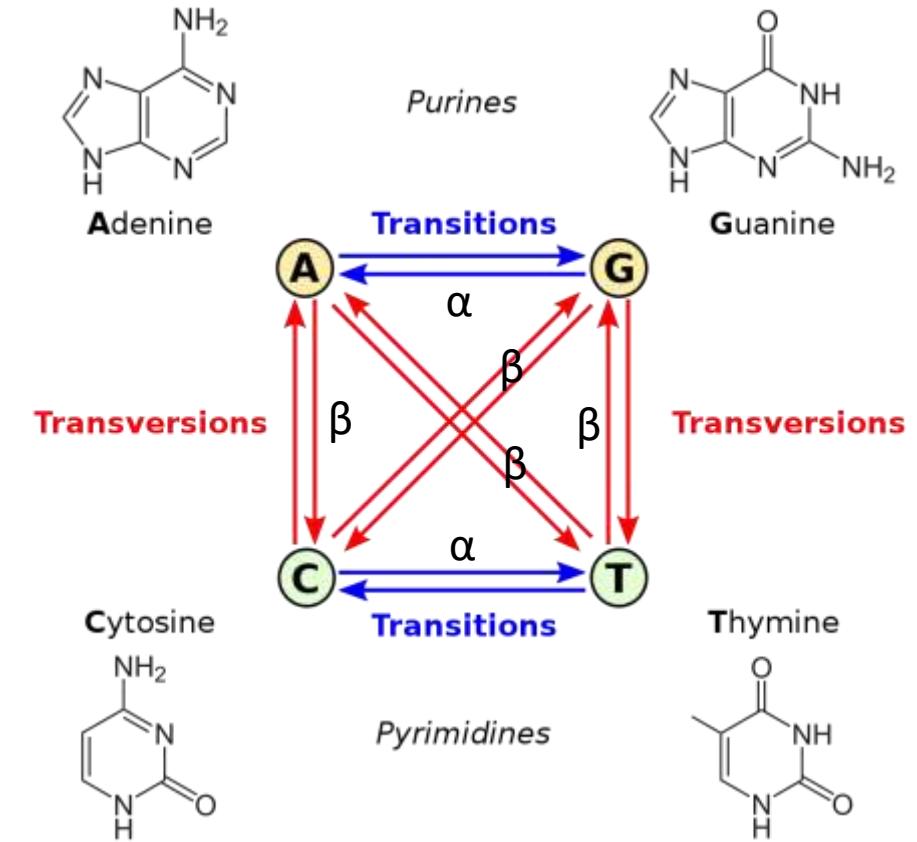


2.1 Choose appropriate models of sequence evolution

- 2 parameters: Kimura 1980

$$Q = \begin{pmatrix} * & \alpha & \beta & \beta \\ \alpha & * & \beta & \beta \\ \beta & \beta & * & \alpha \\ \beta & \beta & \alpha & * \end{pmatrix}$$

- Hypotheses:
 - Equal base frequencies (1/4)
 - has distinct rates for transitions (α) and transversions (β)

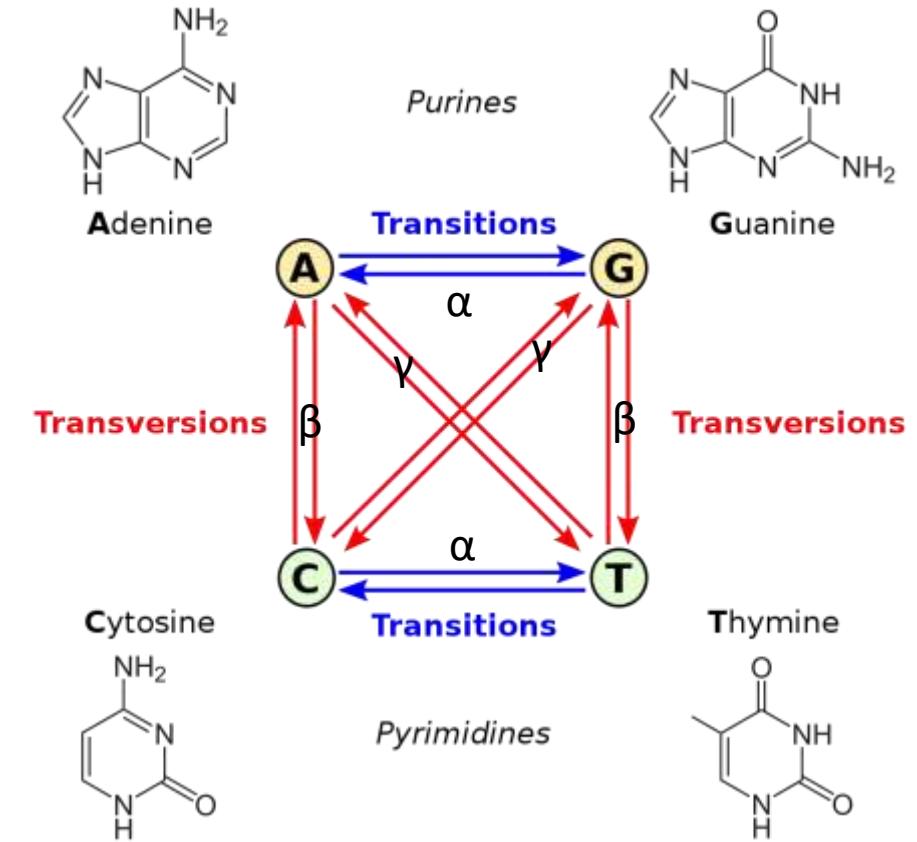


2.1 Choose appropriate models of sequence evolution

- 3 parameters: Kimura 1981

$$Q = \begin{pmatrix} * & \alpha & \beta & \gamma \\ \alpha & * & \gamma & \beta \\ \beta & \gamma & * & \alpha \\ \gamma & \beta & \alpha & * \end{pmatrix}$$

- Hypotheses:
 - Equal base frequencies (1/4)
 - has distinct rates for transitions (α) and two distinct types of transversions (β, γ)

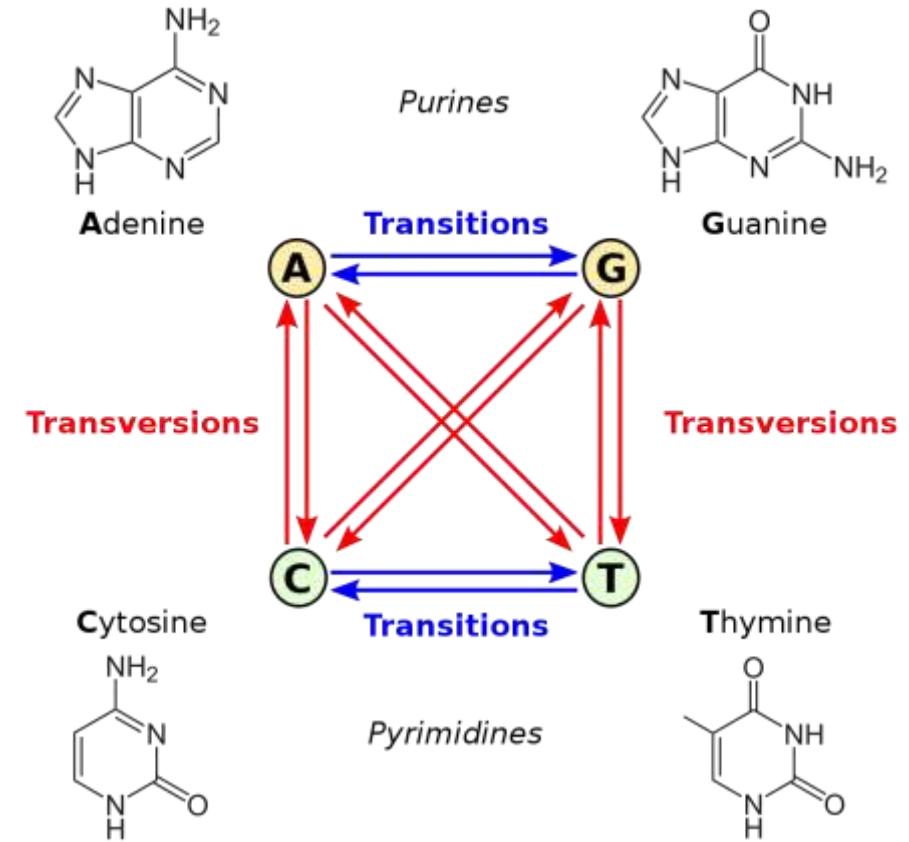


2.1 Choose appropriate models of sequence evolution

- F81 model (Felsenstein 1981)

$$Q = \begin{pmatrix} * & \pi_G & \pi_C & \pi_T \\ \pi_A & * & \pi_C & \pi_T \\ \pi_A & \pi_G & * & \pi_T \\ \pi_A & \pi_G & \pi_C & * \end{pmatrix}$$

- Hypotheses:
 - Base frequencies are different ($\neq 1/4$),
 $\pi_A \neq \pi_T \neq \pi_C \neq \pi_G$

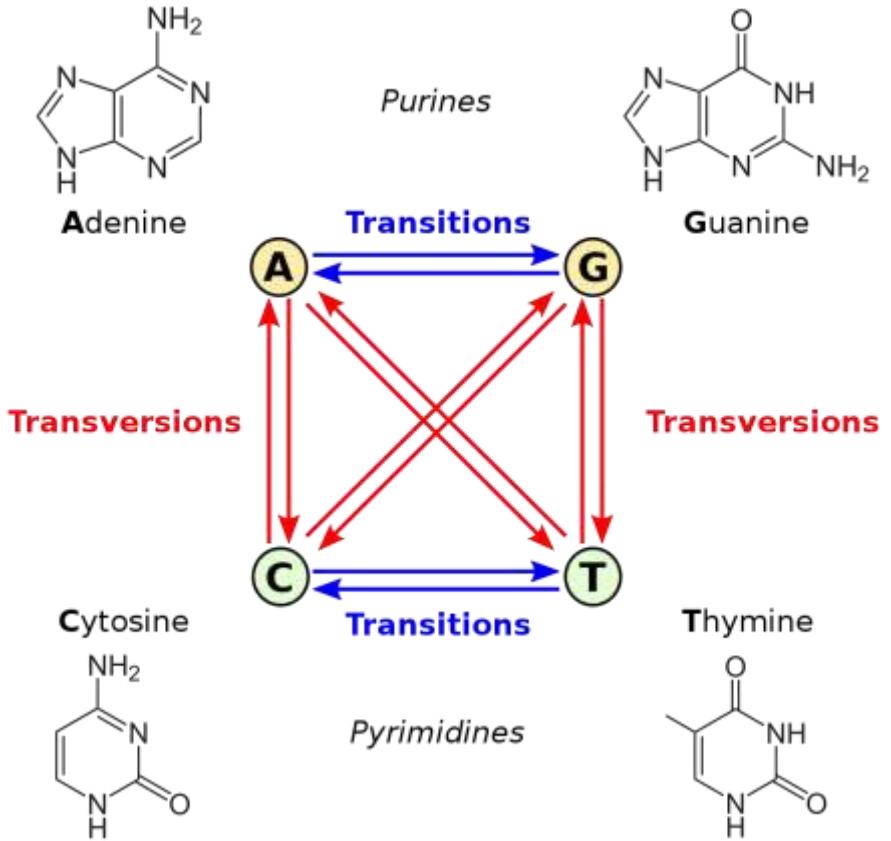


2.1 Choose appropriate models of sequence evolution

- Generalised time-reversible model (Tavaré 1986) - GTR

$$Q = \begin{pmatrix} -(\alpha\pi_G + \beta\pi_C + \gamma\pi_T) & \alpha\pi_G & \beta\pi_C & \gamma\pi_T \\ \alpha\pi_A & -(\alpha\pi_A + \delta\pi_C + \epsilon\pi_T) & \delta\pi_C & \epsilon\pi_T \\ \beta\pi_A & \delta\pi_G & -(\beta\pi_A + \delta\pi_G + \eta\pi_T) & \eta\pi_T \\ \gamma\pi_A & \epsilon\pi_G & \eta\pi_C & -(\gamma\pi_A + \epsilon\pi_G + \eta\pi_C) \end{pmatrix}$$

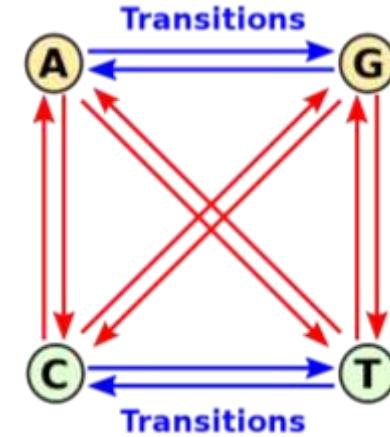
- Hypotheses:
 - Base frequencies are different ($\neq 1/4$, $\pi_A \neq \pi_T \neq \pi_C \neq \pi_G$)
 - All mutation rates are different ($\alpha, \beta, \gamma, \delta, \epsilon, \eta$)



2.1 Choose appropriate models of sequence evolution

- We need to choose the correct model to weight the nucleotide differences between sequences.
- Juke&Cantor? Kimura81? F81? GTR?

?



2.1 Choose appropriate models of sequence evolution

- Test of the model: in MEGA-X
 - Open : “rbcl-diatbarcode.fasta”
 - Click: “Analyze”
 - Click: “nucleotide sequence”
 - Protein coding nucleotide sequence? “Yes”
 - Select a genetic code: “standard”
 - Analysis > Model > “Find best DNA model” > “Ok”



Download:

<https://www.megasoftware.net/index.html>

Table. Maximum Likelihood fits of 24 different nucleotide substitution models

Model	Parameters	BIC	AICc	$\ln L$	(+I)	(+G)	R	$f(A)$	$f(T)$	$f(C)$	$f(G)$	$r(AT)$	$r(AC)$	$r(AG)$	$r(TA)$	$r(TC)$	$r(TG)$	$r(CA)$	$r(CT)$	$r(CG)$	$r(GA)$	$r(GT)$	$r(GC)$		
GTR+G	54	13432.100	12976.843	-6434.334	n/a	0.20	0.98	0.297	0.318	0.177	0.208	0.134	0.019	0.077	0.125	0.123	0.035	0.032	0.221	0.037	0.110	0.054	0.031		
GTR+G+I	55	13438.310	12974.625	-6432.222	0.03	0.21	0.99	0.297	0.318	0.177	0.208	0.135	0.019	0.077	0.126	0.125	0.035	0.032	0.225	0.033	0.110	0.054	0.028		
GTR+I	54	13469.205	13013.947	-6452.886	0.68	n/a	0.98	0.297	0.318	0.177	0.208	0.128	0.021	0.079	0.120	0.119	0.035	0.035	0.215	0.043	0.114	0.054	0.036		
TN93+G	51	13492.119	13062.144	-6479.994	n/a	0.19	1.08	0.297	0.318	0.177	0.208	0.074	0.041	0.073	0.069	0.128	0.048	0.069	0.231	0.048	0.105	0.074	0.041		
T92+G	48	13497.008	13092.318	-6498.090	n/a	0.18	1.10	0.308	0.308	0.192	0.192	0.071	0.044	0.103	0.071	0.103	0.044	0.071	0.166	0.044	0.166	0.071	0.044		
T92+G+I	49	13507.442	13094.323	-6498.090	0.00	0.18	1.10	0.308	0.308	0.192	0.192	0.071	0.044	0.103	0.071	0.103	0.044	0.071	0.166	0.044	0.166	0.071	0.044		
HKY+G	50	13513.893	13092.347	-6496.098	n/a	0.18	1.11	0.297	0.318	0.177	0.208	0.073	0.041	0.112	0.068	0.095	0.048	0.068	0.172	0.048	0.160	0.073	0.041		
TN93+I	51	13516.457	13086.482	-6492.163	0.68	n/a	1.06	0.297	0.318	0.177	0.208	0.075	0.041	0.076	0.070	0.124	0.049	0.070	0.223	0.049	0.109	0.075	0.041		
TN93+G+I	52	13519.221	1308	Best model (lowest BIC Bayesian Information Criterion) : GTR + G																		0.041	0.097	0.063	0.035
HKY+G+I	51	13524.297	1309																			0.048	0.160	0.073	0.041
HKY+I	50	13534.553	1311																			0.048	0.160	0.074	0.041
K2+G	47	13732.171	1333	Generalised time-reversible model – GTR:																		0.061	0.127	0.061	0.061
K2+G+I	48	13757.773	1335	Base frequencies are different ($\neq 1/4$, $\pi_A \neq \pi_T \neq \pi_C \neq \pi_G$)																		0.053	0.145	0.053	0.053
JC+G	46	13812.998	1342	All mutation rates are different ($\alpha, \beta, \gamma, \delta, \epsilon, \eta$)																		0.083	0.083	0.083	0.083
JC+G+I	47	13823.560	1342																			0.083	0.083	0.083	0.083
T92+I	48	13854.070	1344																			0.046	0.159	0.074	0.046
K2+I	47	14066.386	1367	Gamma distribution - G:																		0.063	0.124	0.063	0.063
JC+I	46	14142.616	1375	Modelise evolutionary rates among sites which are not uniform																		0.083	0.083	0.083	0.083
GTR	52	14144.444	1380																			0.043	0.007	0.055	0.027

2.2 Phylogeny inference

- There are different methods to construct a phylogeny:
 - Distance methods
 - Parsimony methods
 - Likelihood methods

Depending on the method used, they can give different results

2.2 Phylogeny inference

- Distance methods:
 - find a tree such that branch lengths of paths between sequences fit the matrix of pairwise distances
 - An example of distance method:
Neighbor Joining:
based on the principle of minimal evolution.
Assumes that the best tree is the tree of smallest length.
It starts with a tree star, then there is an iterative process to reach the smallest tree.
 - There are other methods (ex: UPGMA)

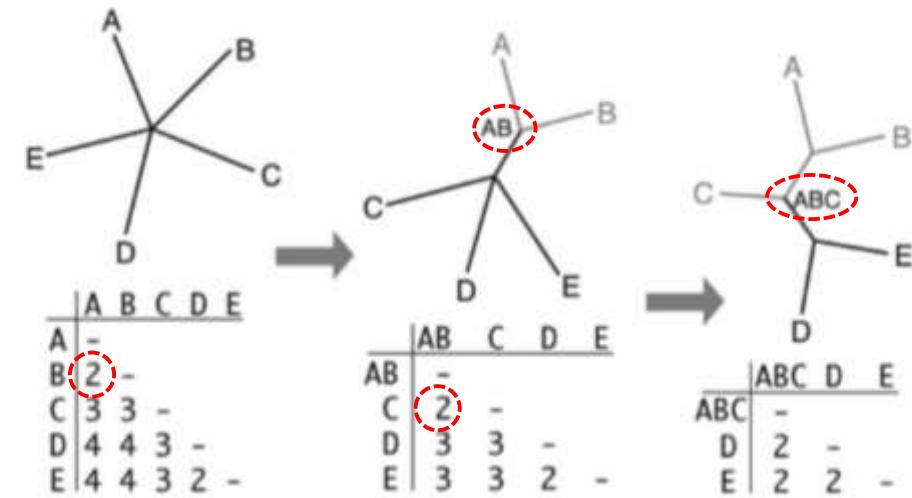
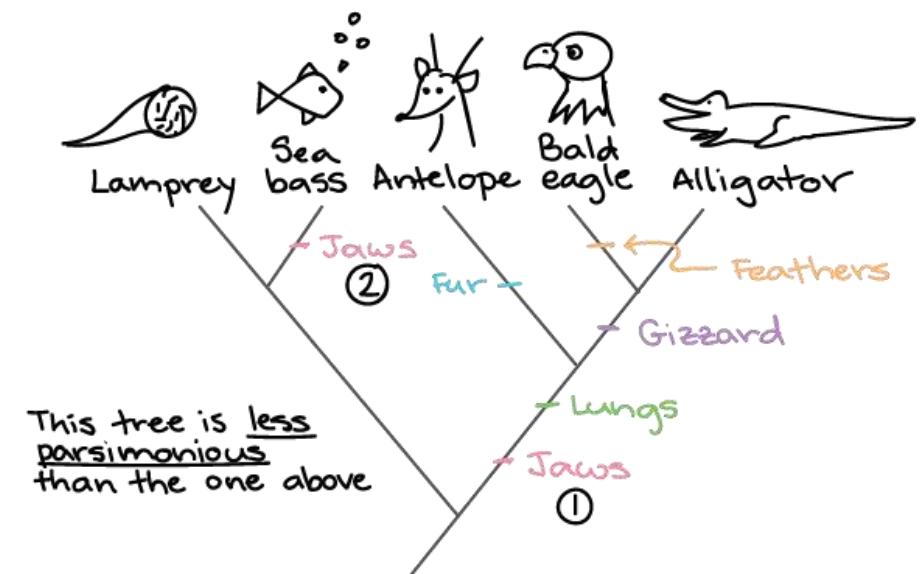
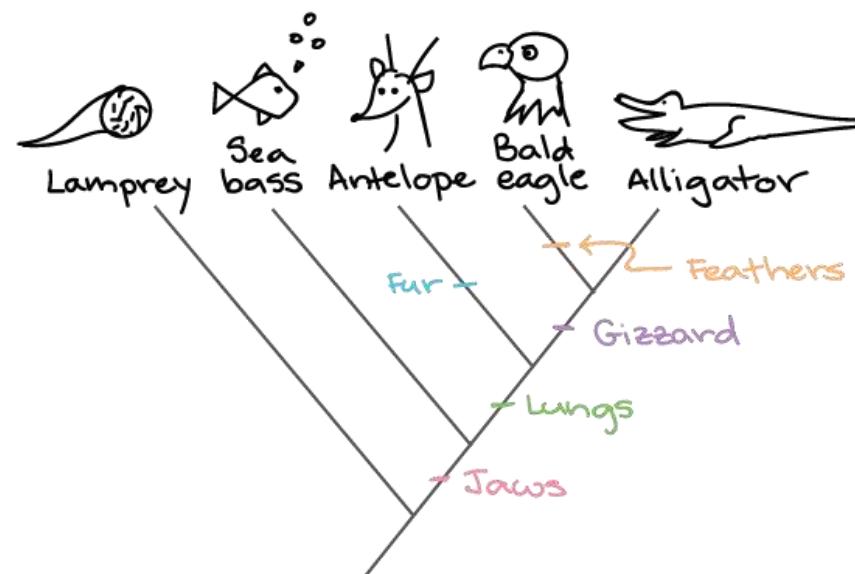


Figure 8. Neighbor Joining algorithm: start from a star phylogeny (left); find the nearest pair of nodes (according to the distance matrix, either of A-B or D-E) (middle); recalculate the distance matrix using the new node (AB); repeat until the tree is fully resolved (right).

2.2 Phylogeny inference

- Maximum Parsimony methods:

- The assumption is that the true evolutionary story is the one that involves the fewest evolutionary events
- The objective is to identify the phylogenetic tree that requires the smallest total number of evolutionary events. There is an iterative process, the best tree is the one with the maximum parsimony



2.2 Phylogeny inference



Maximum Likelihood method:

- What is likelihood ?
- Example with coin tossing:
 - p = proba of landing on head - H
 - $1-p$ = proba of landing on tail – T
 - $p=0.5$
 - 2 tossings: HH
 - $p^2 \cdot (1-p)^0$
 - $0.5^2 \cdot (1-0.5)^0 = 0.25$
 - 5 tossings: HHTTH
 - $p^3 \cdot (1-p)^2$
 - $0.5^3 \cdot (1-0.5)^2 = 0.03125$
- If we don't know p , some values of p will generate the observed data (ex. HHTTH) with higher probabilities. The highest probability will be obtained with $p=0.5$.
- How can we find p to maximize $L(p)$?
The solution is: $p= h/n$
- This is the maximum likelihood estimate (MLE)
- In evolution, point mutations are considered chance events, just like tossing a coin. Therefore, the probability of finding a mutation along one branch in a phylogenetic tree can be calculated by using the same maximum likelihood framework.

This probability defines de likelihood function:

$$L(p) = p^h \cdot (1-p)^{n-h}$$

with n nb of tossings, h nb of heads

2.2 Phylogeny inference

- Maximum Likelihood method:
 - This method compares phylogenetic trees on the basis of their ability to predict the observed data. The tree that has the highest probability of producing the observed sequences is preferred.
 - More in details:
 - the nucleotides of all sequences at each site are considered separately
 - the likelihood of having these bases are computed for a given topology by using the same evolutionary model (ex. GTR+G).

Suppose we have:

- A fixed topology
- Observed data (a:G, b:G, c:T, d: G)

- Ancestral states

- Mutation rates t

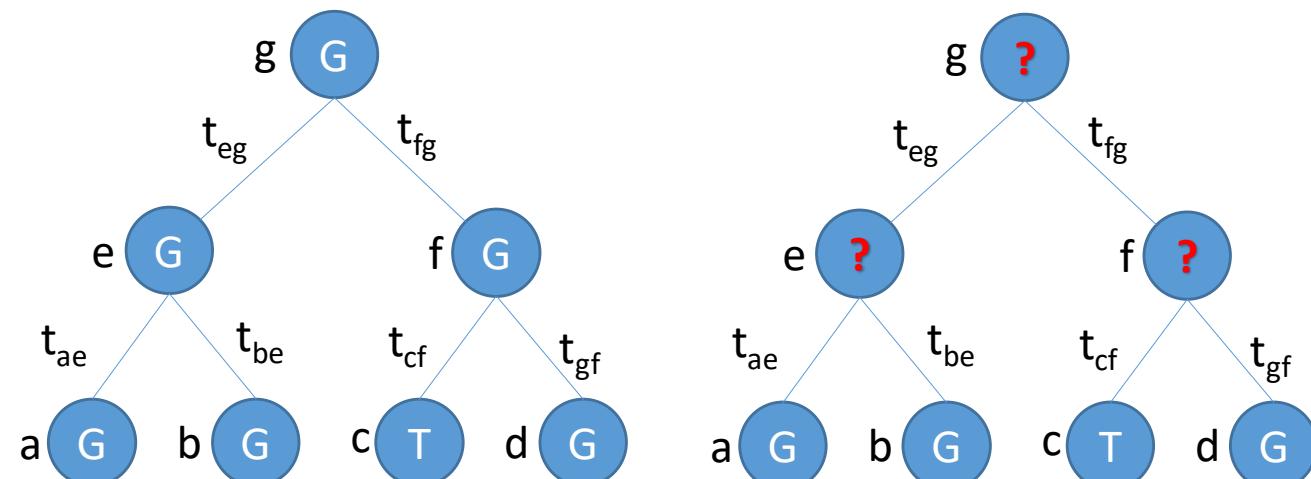
Likelihood = $\Pr(g:G)$.

$\Pr(e:G|g:G, t_{eg}) \cdot \Pr(f:G|g:G, t_{fg})$

$\Pr(a:G|e:G, t_{ae}) \cdot \Pr(b:G|e:G, t_{be})$

$\Pr(c:G|f:G, t_{cf}) \cdot \Pr(d:G|f:G, t_{df})$

-conditional probabilities are used from (GTR+G) model



Other tree topology
?

2.2 Phylogeny inference

- Maximum Likelihood method:
 - This method compares phylogenetic trees on the basis of their ability to predict the observed data. The tree that has the highest probability of producing the observed sequences is preferred.
 - More in details:
 - the nucleotides of all sequences at each site are considered separately
 - the likelihood of having these bases are computed for a given topology by using the same evolutionary model (ex. GTR+G).
 - This likelihood is added for all sites, and the sum of the likelihood is maximized to estimate the branch length of the tree.
 - This procedure is repeated for all possible topologies, and the topology that shows the highest likelihood is chosen as the final tree.
 - Number of topologies is factorial of the number n of sequences: $(2n - 5)!!$
- Problem: long to compute (need to calculate for all tree topologies possible), but very robust (no assumptions behind).
- Solution: some heuristics (simplifications) are needed especially when large trees are inferred (for instance we can set the initial tree from a neighbor joining tree)

>RaxML (Randomized Axelerated Maximum Likelihood)

2.2 Phylogeny inference

- Let's infer a ML phylogeny in MEGA-X software
- Open « rbcl-diatbarcode.fasta »
- Analysis > Phylogeny > Construct test Maximum Likelihood tree

Use in the substitution model « GTR »

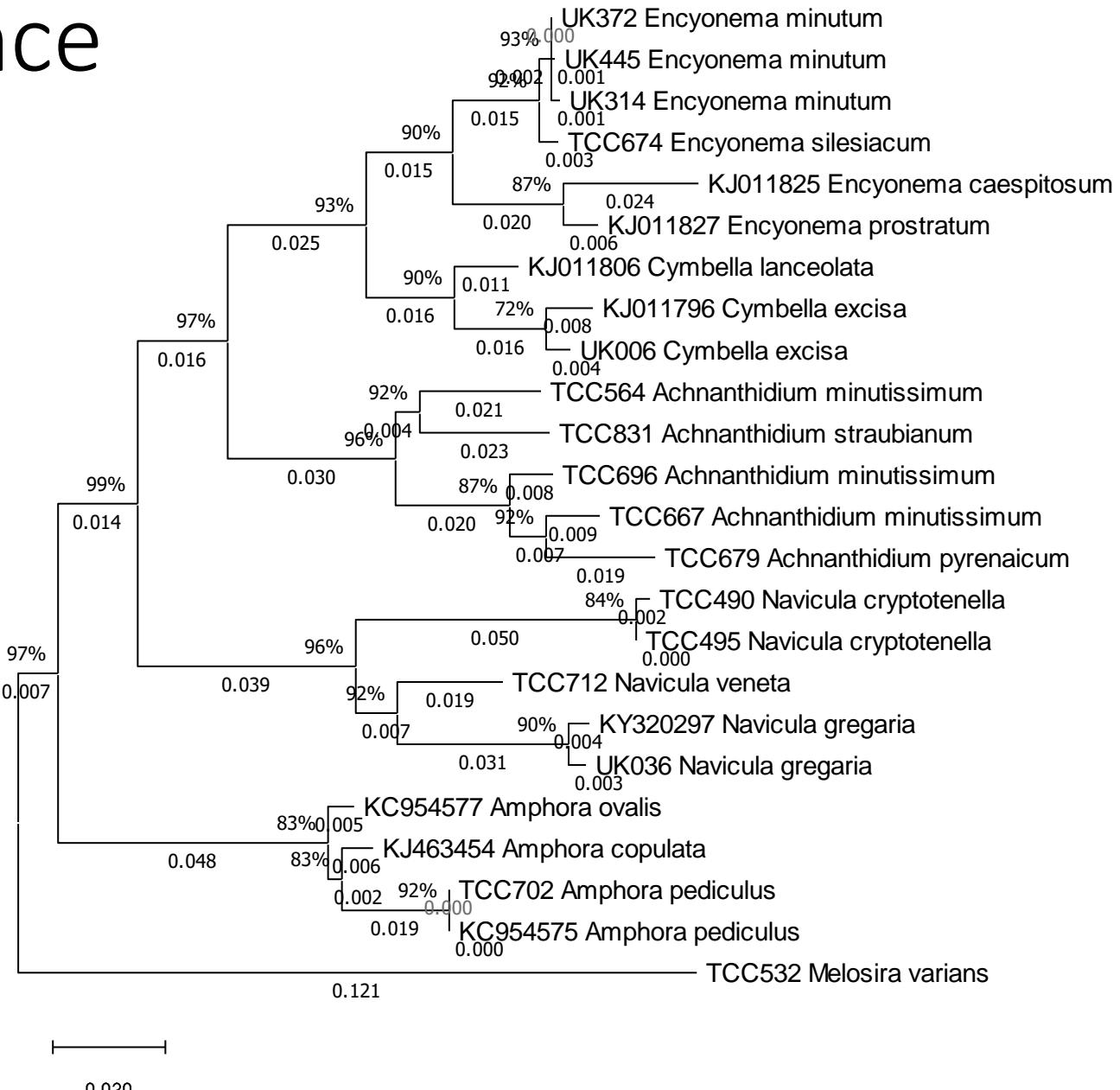
Use in the rates and patterns « Gamma distributed»

Bootstrap = 50 (this is quite low, usually, use 100 and even more)

Result in Mega-X:

Use “original tree” (=best tree).

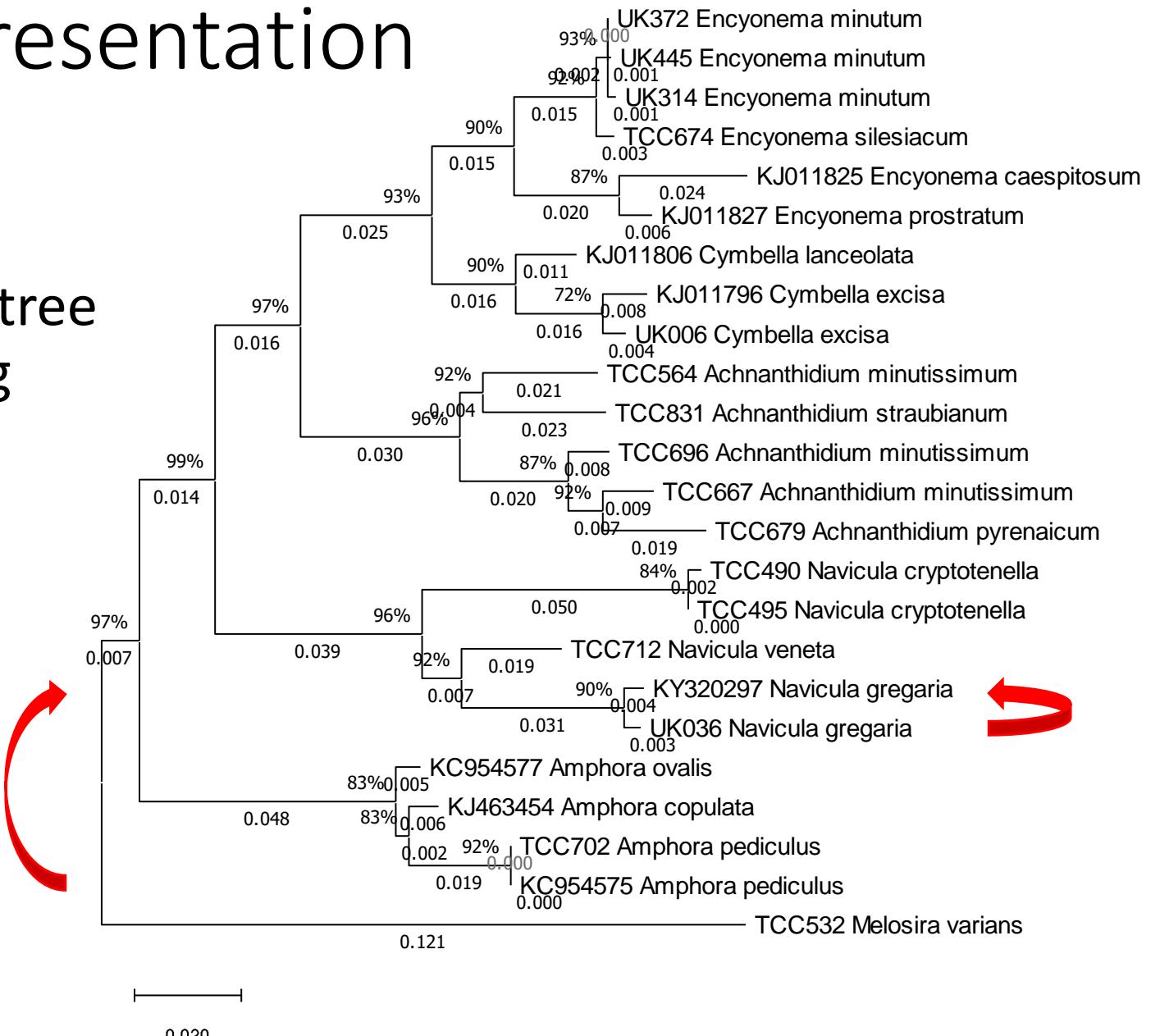
Don't use the “Bootstrat consensus tree” (= not all trees have the same topology, the consensus tree summarize the most frequently observed topologies)



2.3 Graphical representation

- Interpret tree topology

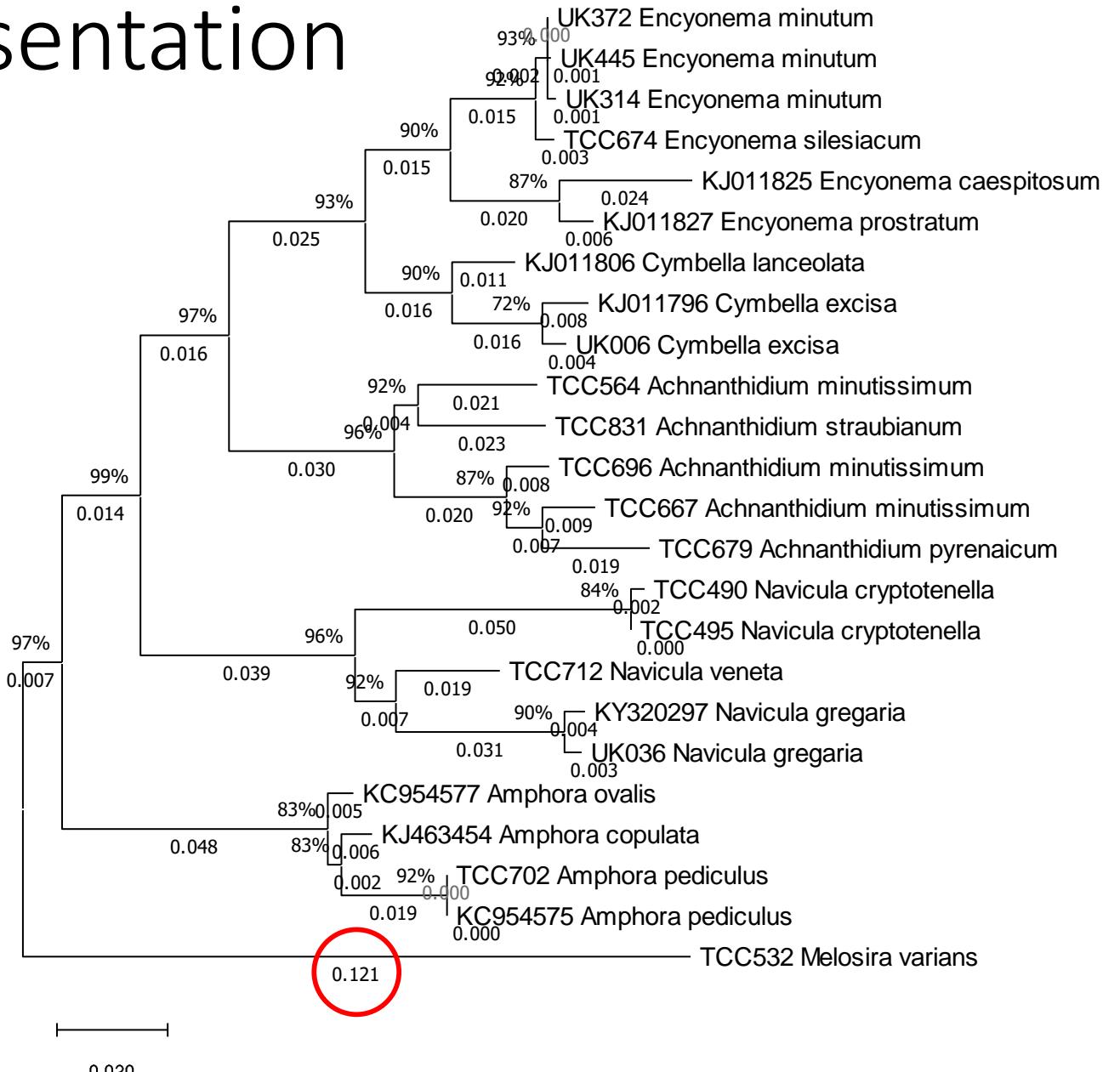
You can flip the leaves, the tree will have the same meaning



2.3 Graphical representation

- Interpret tree topology

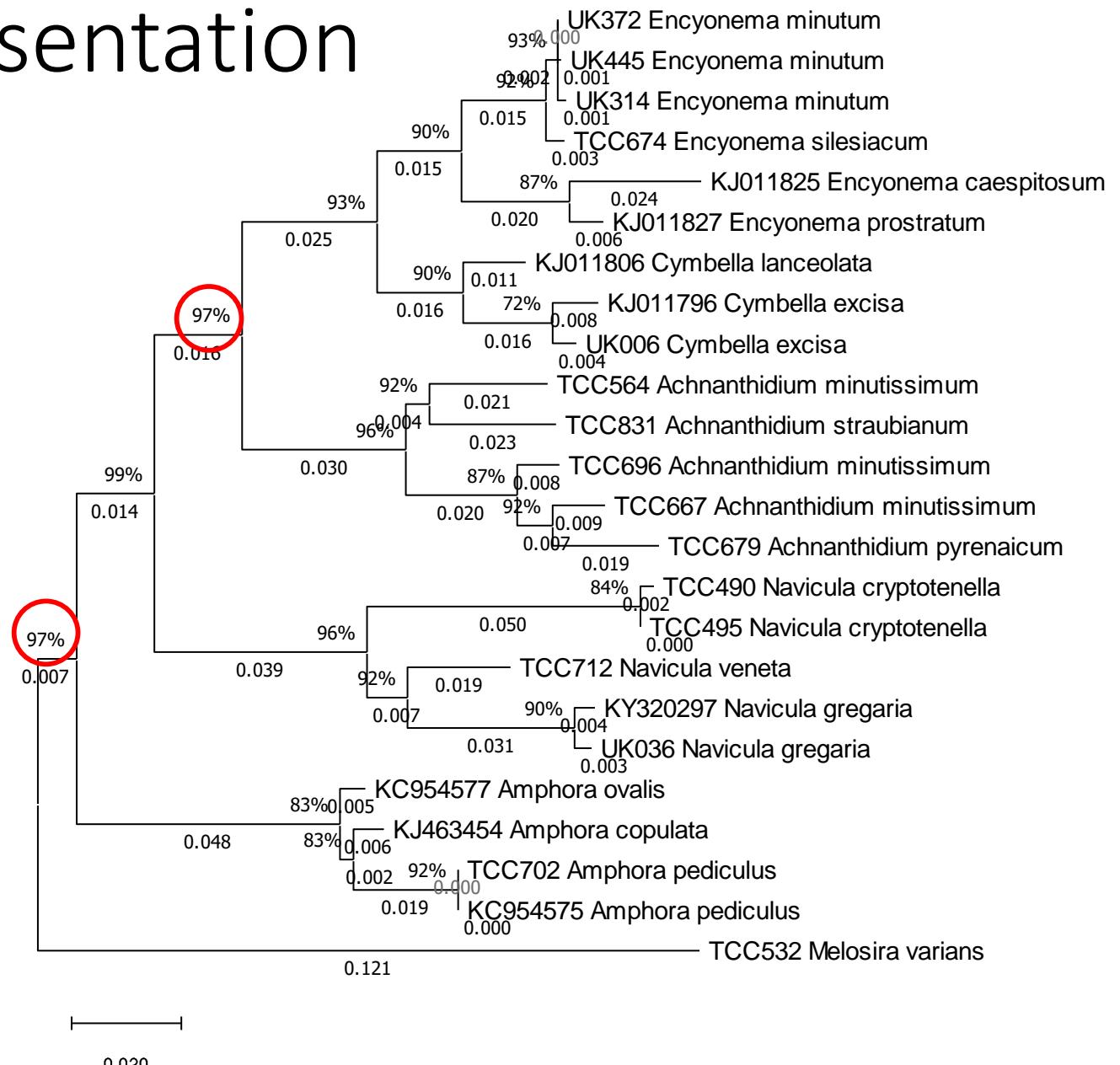
Branch length indicate genetic change : $0,1 = 0,1$ substitution/site if we use the simplest substitution model (equal prob. of substitutions)



2.3 Graphical representation

- Interpret tree topology

Bootstrap value: number of time this node was found during the iterations



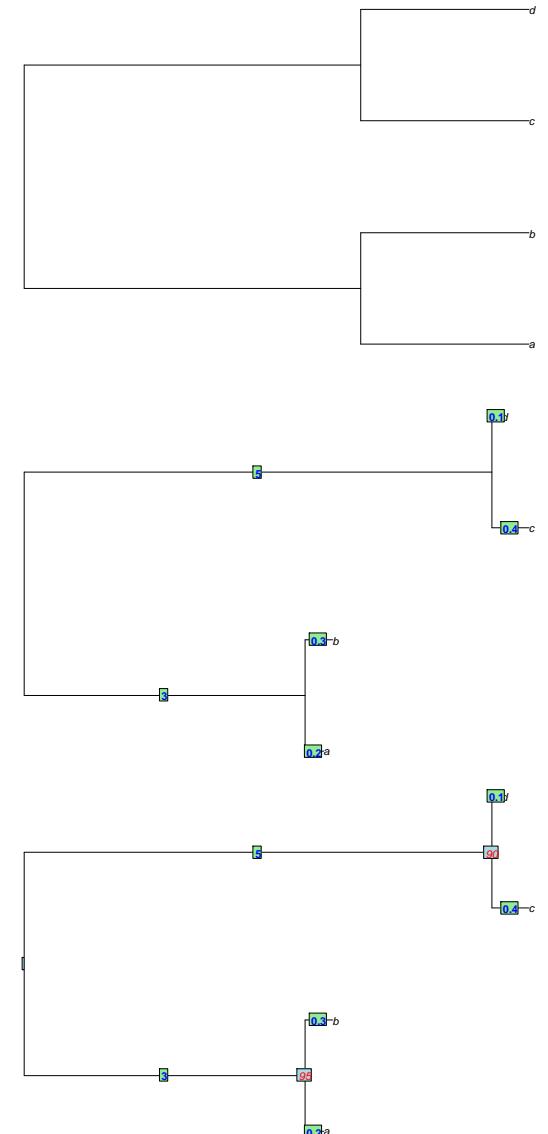
2.3 Graphical representation

- in R studio
- Open “phylogeny.R”
- Discover Newick format
- All trees follow the [newick](#) standard
 - Simple tree: `((a,b),(c,d));`
 - With branch lengths: `((a:0.2,b:0.3):3,(c:0.4,d:0.1):5);`
 - With bootstrap: `((a:0.2,b:0.3)95:3,(c:0.4,d:0.1)90:5);`

```

6
7 - #####
8 - ### Discover newick format #####
9 - #####
10

```



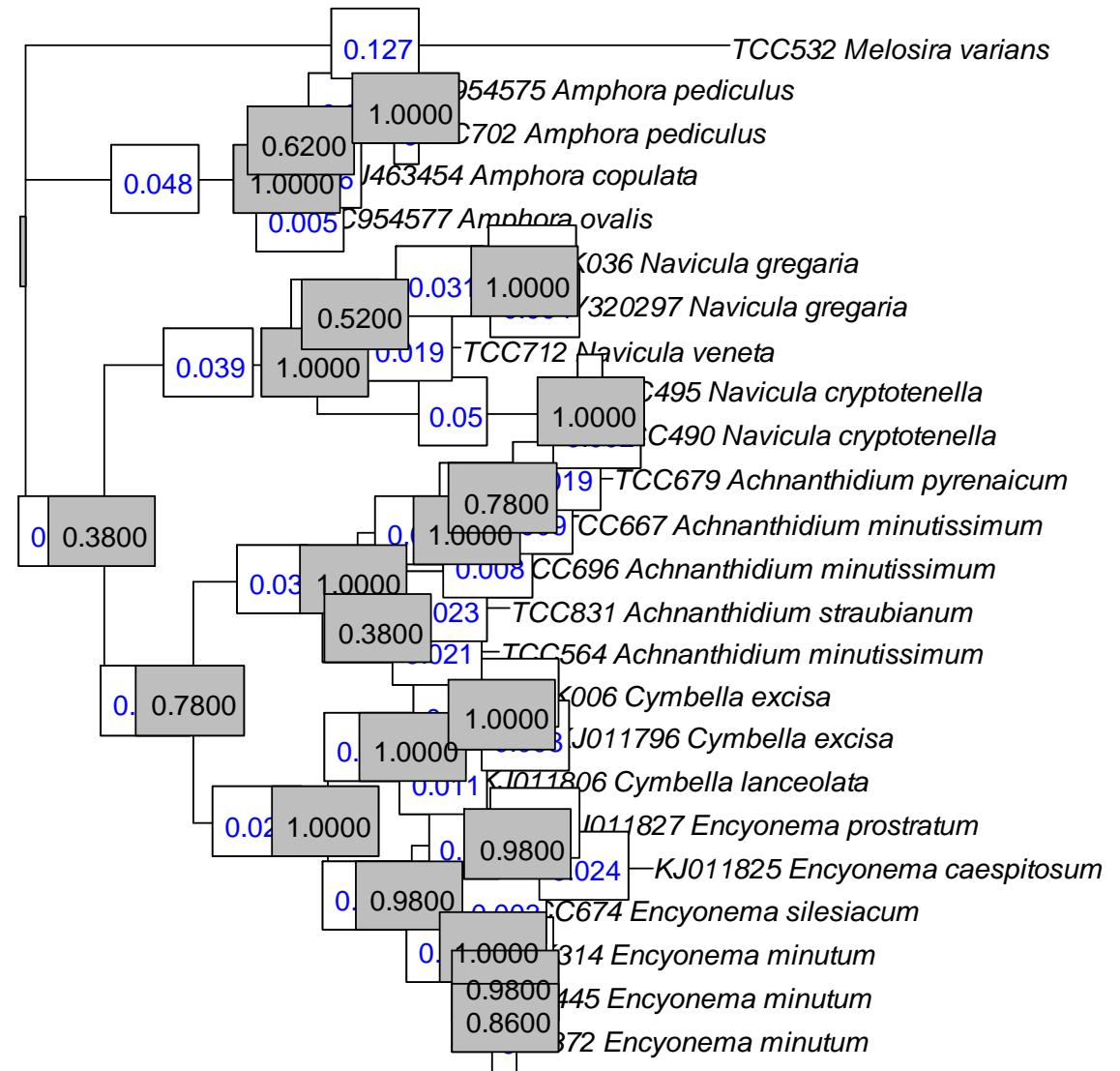
2.3 Graphical representation

- Load the phylogeny inferred in MEGA-X with R

```

35 - #####
36 ##Load the phylogeny of example data of the course#####
37 - #####
38 #you can make a simple copy paste of the newick file "rbc"

```



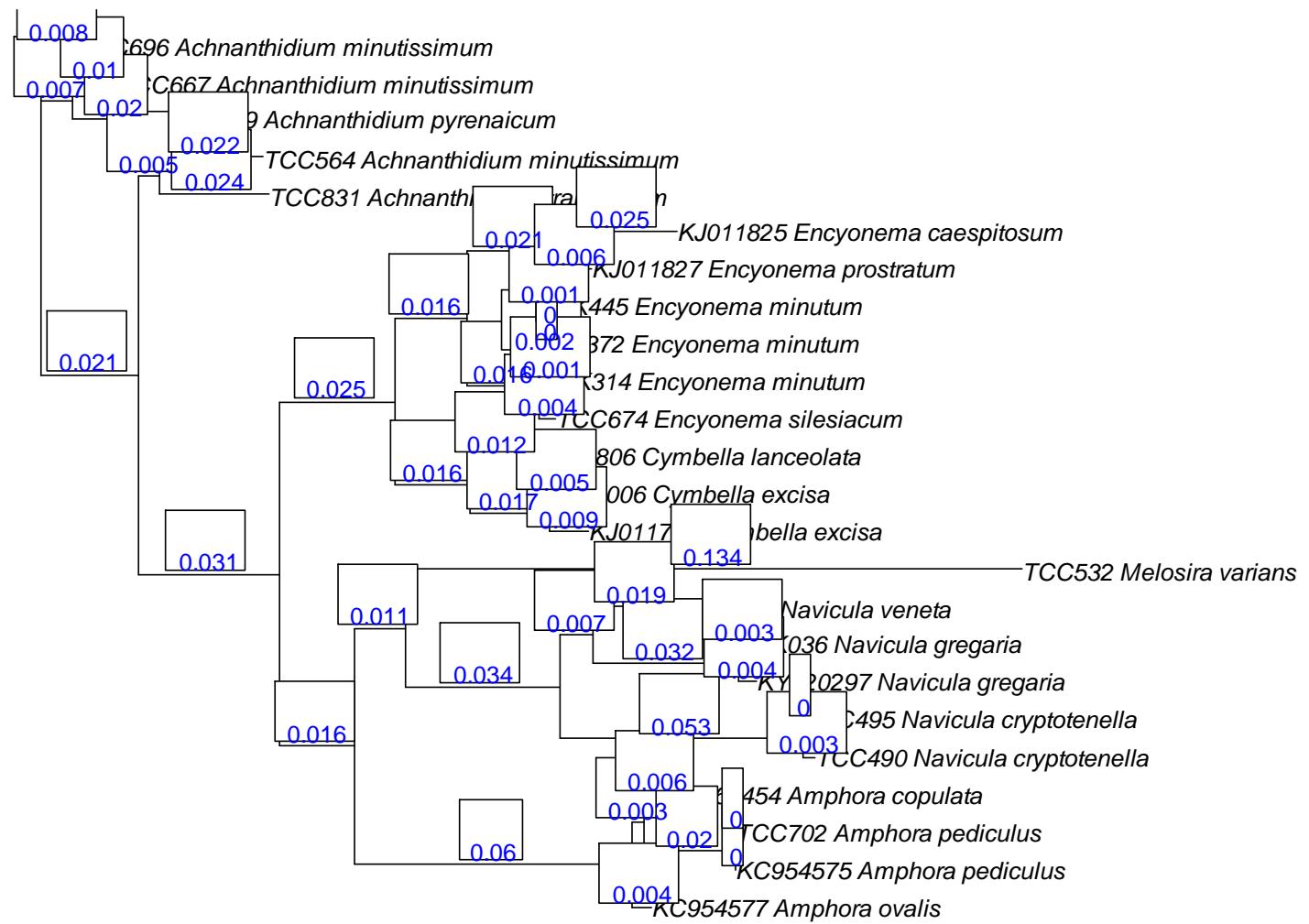
RaxML inference in R

- Infer a ML with RaxML

```

46
47 - #####
48 - ### Infer a ML with RAXML #####
49 - #####
50

```



Schedule



0. Including phylogenies into ecological studies

- 0.1 Phylogenetic diversity
- 0.2 Measuring phylogenetic signal
- 0.3 Measuring and testing community phylogenetic structure

1. Theory of sequence alignment

- 1.1 What is an alignment?
- 1.2 Objective of aligning sequences
- 1.3 Edit distance
- 1.4 Local alignments
- 1.5 Global alignments

2. Phylogenies

- 2.1 Choose appropriate model of sequence evolution
- 2.2 Phylogeny inference
- 2.3 Graphical representation of phylogenies

3. Phylogenetic placement

- 3.1 Definition
- 3.2 Why using this
- 3.3 Example with RaxML in R

4. Ecophylogenetic training in R (R studio)

PD
NRI NTI



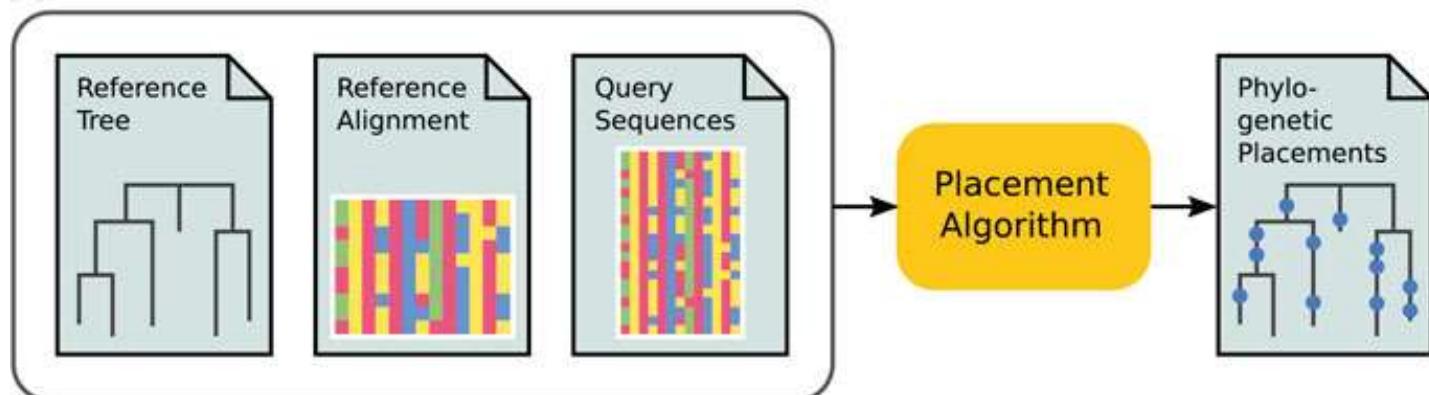
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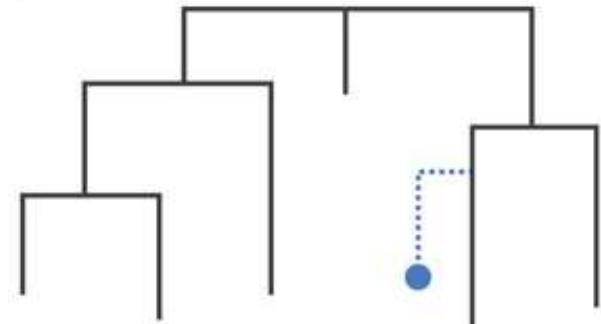
3.1 Definition

- Phylogenetic placement: A family of methods to place query sequences onto the branches of a reference tree
 - Query sequence: a sequence to be placed in a tree. Typically: short sequences from metabarcoding
 - Reference tree: the phylogenetic tree used to place the queries, inferred with ML and (usually) long sequences

A

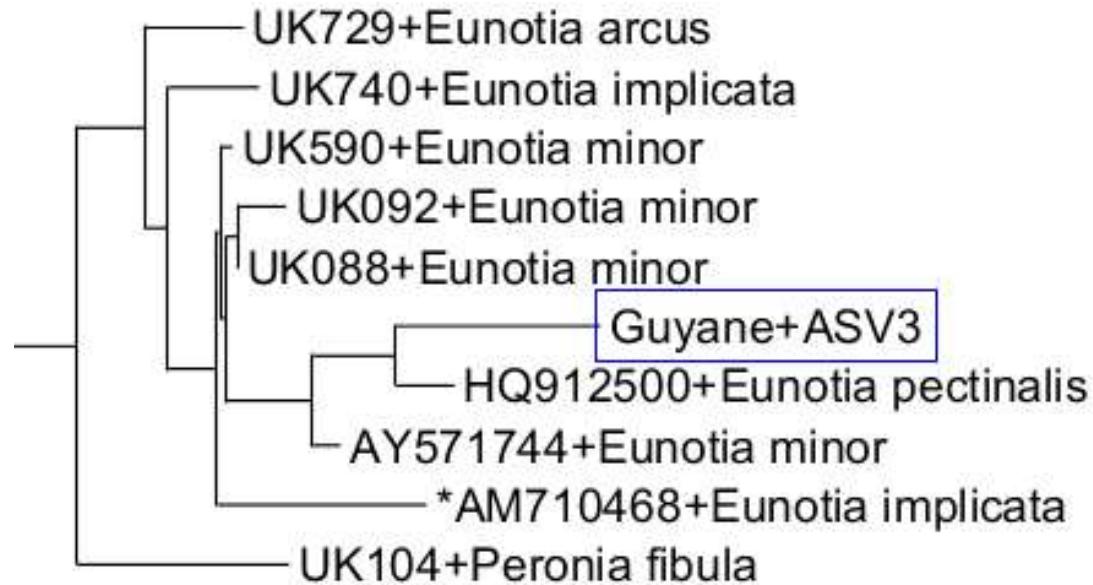


C



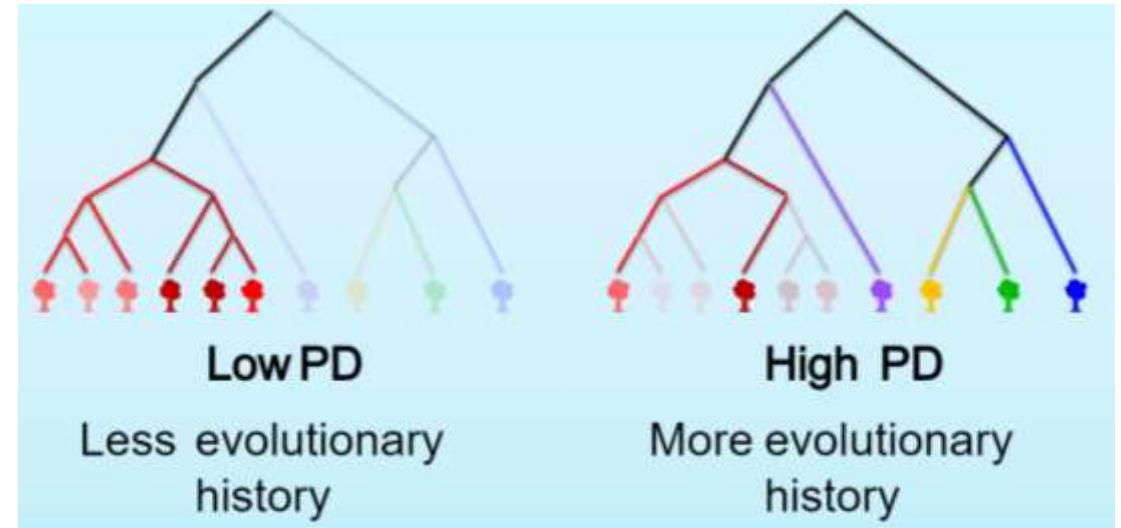
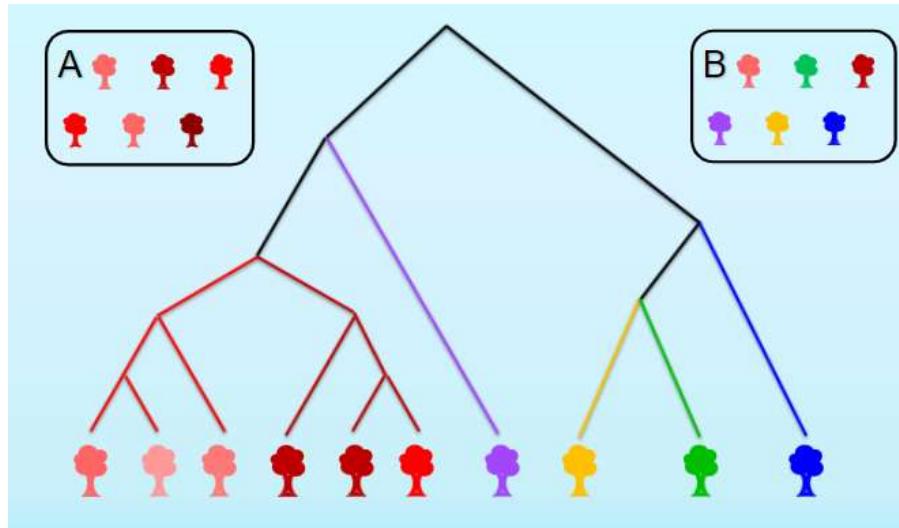
3.2 Why using phylogenetic placement?

- To investigate the taxonomic composition of samples:
 - can be an alternative to DADA2 taxonomic assignation



3.2 Why using phylogenetic placement?

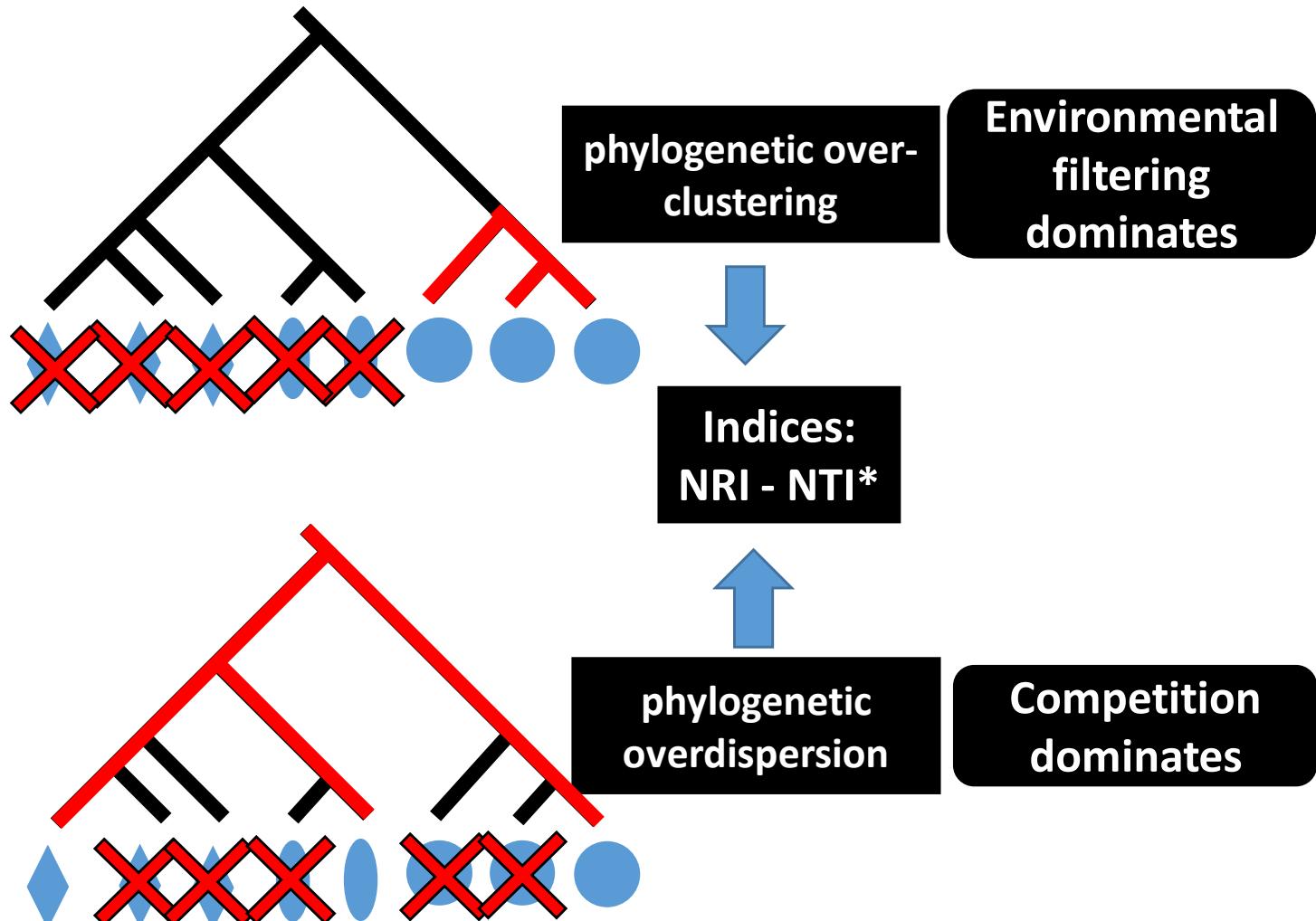
- For ecological studies
 - Phylogenetic diversity
 - > integration of the phylogenetic dimension in diversity metrics



3.2 Why using phylogenetic placement?

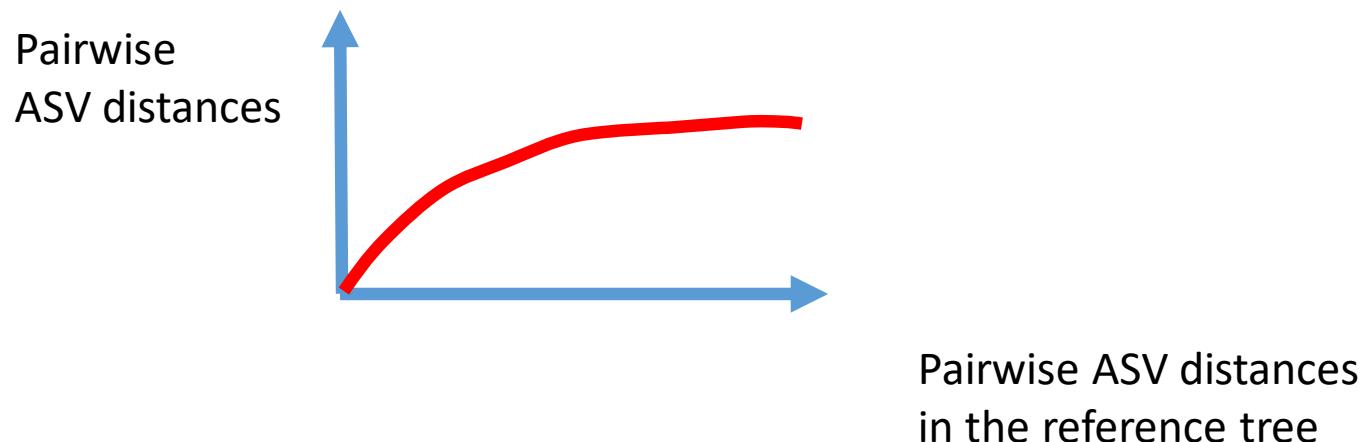
- For ecological studies
 - > If there is a niche conservatism in the evolution,
 - > Phylogenetic structure of samples can be interpreted in terms of ecological processes

Environmental filtering
vs
Competitive exclusion



3.2 Why using phylogenetic placement?

- For metabarcoding studies, we need to place our ASV in a reference tree and extract their pairwise phylogenetic distances
- It is not possible to calculate directly the distances between ASV because they are too short >> underestimation of the distances for phylogenetically distant ASV.



Which algorithms?

- Several algorithms exist

Metagenomic Analysis Using Phylogenetic Placement—A Review of the First Decade

Lucas Czech^{1*}, Alexandros Stamatakis^{2,3}, Micah Dunthorn⁴ and Pierre Barbera^{5*}

Placement Tool	Alignment	Multiple	Uncertainty	Branch Lengths
PLACER	yes	yes	yes	yes
RAXML-EPA	yes	yes	yes	yes
EPA-NC	yes	yes	yes	yes
RAPPAS	no	yes	yes	no
APPLES	no	no	no	yes
APP-SPAM	no	no	no	yes

3.3 Example with RaxML in R

- Go back to the script and go to
“Make a phylogenetic placement with RAXML”

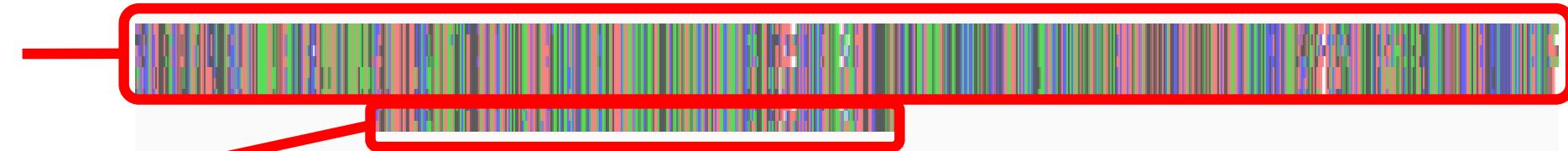
We will use this alignment:

« rbcl-diatbarcode-ASV.fasta »

```
97
98 - #####
99 - ### Make a phylogenetic placement with RAXML #####
100 - #####
101
```

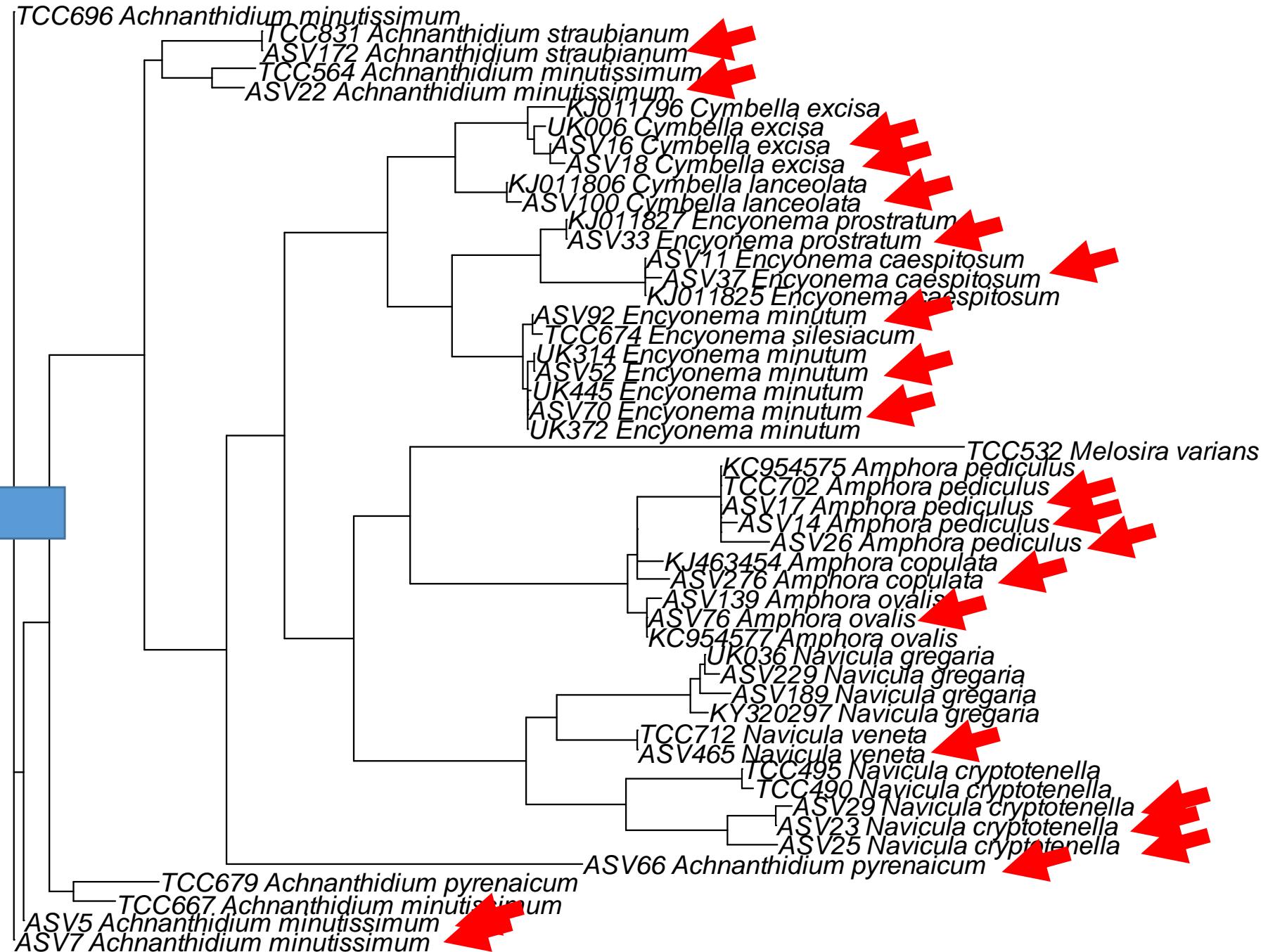
Sequences used in
the reference tree
> 1000 bp

Query sequences
263 bp



Result

Extraction of the phylogenetic distances



Schedule



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- 0.3 Measuring and testing community phylogenetic structure

1. Theory of sequence alignment

- 1.1 What is an alignment?
- 1.2 Objective of aligning sequences
- 1.3 Edit distance
- 1.4 Local alignments
- 1.5 Global alignments

2. Phylogenies

- 2.1 Choose appropriate model of sequence evolution
- 2.2 Phylogeny inference
- 2.3 Graphical representation of phylogenies

3. Phylogenetic placement

- 3.1 Definition
- 3.2 Why using this
- 3.3 Example with RaxML in R

4. Ecophylogenetic training in R (R studio)

- NRI NTI
- PD



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Example on mock communities

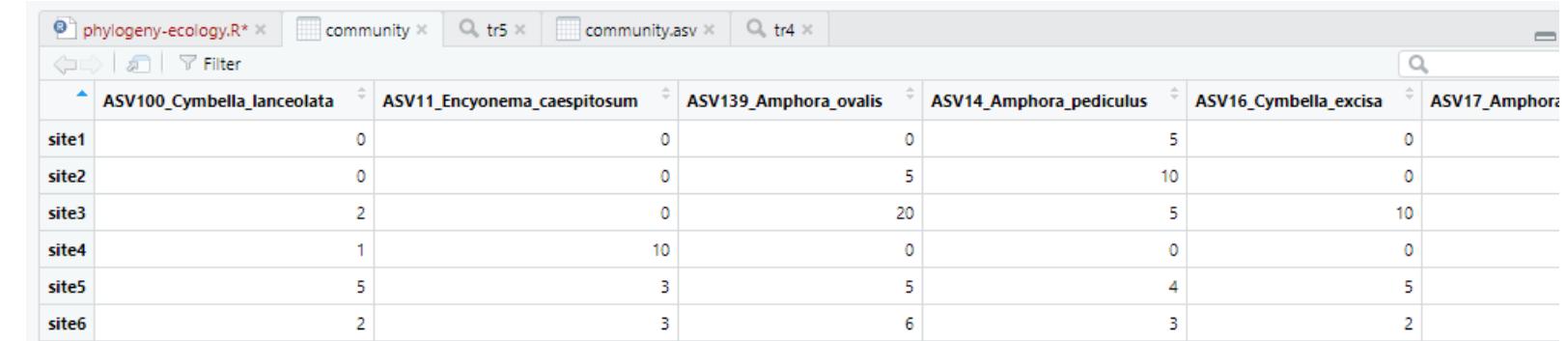
A	B	C	D	E	F	G	H	I	J
			site1	site2	site3	site4	site5	site6	
ASV5	Achnanthidium_minutissimum	ASV5_Achnanthidium_minutissimum	10	5	0	10	5	2	
ASV7	Achnanthidium_minutissimum	ASV7_Achnanthidium_minutissimum	25	7	0	5	3	3	
ASV22	Achnanthidium_minutissimum	ASV22_Achnanthidium_minutissimum	10	5	0	6	4	2	
ASV66	Achnanthidium_pyrenaicum	ASV66_Achnanthidium_pyrenaicum	10	2	0	0	5	5	
ASV172	Achnanthidium_straubianum	ASV172_Achnanthidium_straubianum	5	1	1	0	2	4	
ASV276	Amphora_copulata	ASV276_Amphora_copulata	0	0	25	0	3	4	
ASV139	Amphora_ovalis	ASV139_Amphora_ovalis	0	5	20	0	5	6	
ASV76	Amphora_ovalis	ASV76_Amphora_ovalis	0	5	10	1	2	3	
ASV14	Amphora_pediculus	ASV14_Amphora_pediculus	5	10	5	0	4	3	
ASV17	Amphora_pediculus	ASV17_Amphora_pediculus	10	5	10	0	2	5	
ASV26	Amphora_pediculus	ASV26_Amphora_pediculus	5	2	12	2	5	4	
ASV16	Cymbella_excisa	ASV16_Cymbella_excisa	0	0	10	0	5	2	
ASV18	Cymbella_excisa	ASV18_Cymbella_excisa	0	0	5	1	2	5	
ASV100	Cymbella_lanceolata	ASV100_Cymbella_lanceolata	0	0	2	1	5	2	
ASV11	Encyonema_caespitosum	ASV11_Encyonema_caespitosum	0	0	0	10	3	3	
ASV37	Encyonema_caespitosum	ASV37_Encyonema_caespitosum	0	0	0	15	4	1	
ASV52	Encyonema_minutum	ASV52_Encyonema_minutum	0	2	0	8	5	2	
ASV70	Encyonema_minutum	ASV70_Encyonema_minutum	0	5	0	6	3	6	
ASV92	Encyonema_minutum	ASV92_Encyonema_minutum	0	3	0	20	5	5	
ASV33	Encyonema_prostratum	ASV33_Encyonema_prostratum	0	0	0	10	4	4	
ASV29	Navicula_cryptotenella	ASV29_Navicula_cryptotenella	5	10	0	0	5	5	
ASV23	Navicula_cryptotenella	ASV23_Navicula_cryptotenella	5	15	0	1	2	6	
ASV25	Navicula_cryptotenella	ASV25_Navicula_cryptotenella	2	8	0	0	3	3	
ASV189	Navicula_gregaria	ASV189_Navicula_gregaria	3	5	0	1	4	5	
ASV229	Navicula_gregaria	ASV229_Navicula_gregaria	2	2	0	2	5	4	
ASV465	Navicula_veneta	ASV465_Navicula_veneta	3	3	0	1	5	6	
reads number			100	100	100	100	100	100	
ASV richness			15	20	11	18	27	27	

Calculation of NRI NTI

- Back to R

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148  
149 - #####  
150 - ### CALCULATION NRI NTI ###  
151 - #####  
152 library(picante)  
153
```

- Load the file « community-asv.csv »



	ASV100_Cymbella_lanceolata	ASV11_Encyonema_caespitosum	ASV139_Amphora_ovalis	ASV14_Amphora_pediculus	ASV16_Cymbella_excisa	ASV17_Amphora
site1	0	0	0	5	0	0
site2	0	0	5	10	0	0
site3	2	0	20	5	10	0
site4	1	10	0	0	0	0
site5	5	3	5	4	5	0
site6	2	3	6	3	2	0

- Load the phylogenetic distance (order in the same way as the species in the community data) « distfromtree_asv-ord.csv »

Calculation of NRI NTI

- Look at the NTI.csv and NRI.csv

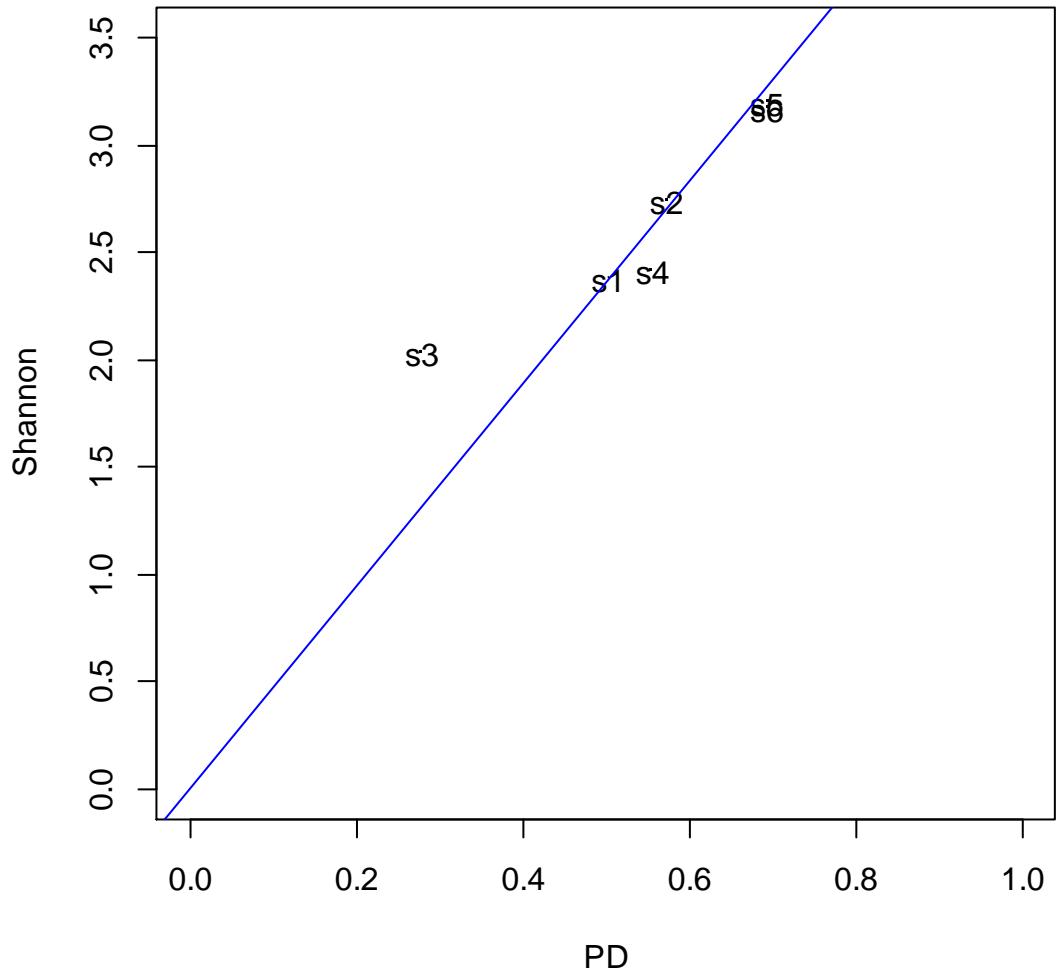
	ntaxa	NTI p value						NRI p value						
		mntd.o	mntd.ra	mntd.ra	mntd.o	mntd.o	mntd.o	runs	mpd.ob	mpd.ra	mpd.ra	mpd.ob	mpd.ob	runs
site1	14	0,024	0,034	0,011	189	-0,897	0,189	999	0,117	0,122	0,009	275	-0,517	0,275
site2	19	0,012	0,024	0,006	17	-1,946	0,017	999	0,127	0,128	0,006	437	-0,105	0,437
site3	10	0,009	0,047	0,015	1	-2,536	0,001	999	0,059	0,117	0,011	1	-5,237	0,001
site4	17	0,012	0,027	0,009	32	-1,693	0,032	999	0,084	0,123	0,008	1	-4,682	0,001
site5	26	0,019	0,017	0,002	826	1,029	0,826	999	0,133	0,132	0,002	681	0,529	0,681
site6	26	0,019	0,017	0,002	722	0,663	0,722	999	0,134	0,132	0,002	805	0,911	0,805

- Significant overclustering for sites 2, 3, 4

Phylogenetic diversity

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180
181 - #####
182 - ### CALCULATION of Phylogenetic diversity (PD) #####
183 - #####
184
```

- Comparison of PD and Shannon diversity



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